

**Record of
Executive
Decisions
published
21/Jul/2023**



Elaine Waugh
Assistant Director of Law and Governance

Civic Centre
Sunderland

21 July 2023

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Decision Taker: **Appropriate Scrutiny Committee:**
Graham King, Director of Adult Services Scrutiny Coordinating Committee

Date decision in force: **Date of decision:**
31 July 2023 21 July 2023

Title and author(s) of written report:
Proposed Purchase of a Social Care Case Management and Information System –
Report of the Director of Adult Services

Full description of decision:
To approval the contract renewal of a Social Care Case Management and Information System

Reasons for decision:
The current contract is due to expire and the above system support underpins the day-to-day functions within Adults and Children's Services, the proposed contract renewal with Liquidlogic is considered the most efficient and appropriate means of continuing to support the effective operation of these key Council services.

Alternative options considered and rejected:
The alternative options are:



- not to proceed with the renewal of the social care case management system. However, this option is not recommended as the existing system underpins the day-to-day-functions within Adults and Children's Services.
- complete a full procurement exercise for a new system. This option is not recommended given we are working successfully with the current solution, and the Council would incur significant costs associated with the procurement and implementation of a new system.

Contact Officer: **Graham King**

Email: graham.king@sunderland.gov.uk

Is this a key decision: Yes
Does the Decision contain Confidential/Exempt Information: No
Declarations of Interest and Dispensations: None

<u>Decision Record</u> (For use in the case of Decisions (including Key Decisions) made by Officers under Delegated Powers)	
Name and job title of Delegated Officer with power under the constitution to make the decision: Graham King Director of Adult Services	Directorate: Adult Services Paragraphs of Constitution relied upon: Part 3, Section 4, Paragraph 4e): In exercising their delegated powers Chief Officers may: accept tenders, place contracts and procure other resources within or outside the Council. Part 4, Section 8, Rule 9.2): Where the aggregate value of the intended procurement is estimated to be equal to or over £500,000 Cabinet approval is required prior to advertising, except only in urgent cases where approval must be secured before award. Part 4, Section 8, Rule 9.3): The requirement in Rule 9.2 above does not apply to those Relevant Contracts that are in place to underpin the day-to-day workings of the Council (e.g., including (without limitation) utility supplies, stationery supply) provided that the prior agreement of the Executive Director of Corporate Services has been obtained before relying on this provision. Subject to the foregoing, the procurement of such contracts may be authorised by the relevant Chief Officer in consultation with the Executive Director of Corporate Services.
Where the decision maker is an officer authorised by the Delegated Officer to make the decision (an Authorised Officer) the name and job title of the Authorised Officer and paragraph of Directorate delegation scheme relied upon: N/A	
Date of decision: 20 th July 2023	
Statement of decision made: To award a contract to Liquidlogic Limited for the provision of a Social Care Case Management and Information System and accompanying services.	
Where applicable, Report(s) considered (state the title and author of the report(s)) and list of background papers: N/A	
Reasons for the decision (including reference, where appropriate, to relevant impact assessments): In 2016, the Council appointed Liquidlogic to implement a Social Care Case Management and Information System. To support the functions across the Council, the following Liquidlogic systems were implemented: <ul style="list-style-type: none"> • LCS (Children’s Social Care System) • LAS (Adult’s Social Care System) • EHM (Early Help Module) • ContrOCC (Social Care Finance) Both LCS and LAS provide case management systems for local authorities, which support all aspects of social work for children and adults, which underpin the day-to-day operation within these business areas. The original contract was for a period of 7 years, which has now expired.	
A procurement exercise was undertaken utilising the Yorkshire Purchasing Organisation (YPO) Software Application	

<p>Solutions (1095) Framework, which allows the Council to make a direct call-off of services from Liquidlogic. The rates paid to Liquidlogic for each of the service components over the contract will be consistent with the published pricing structure of this specific YPO Framework.</p> <p>A call-off to renew the contract with Liquidlogic is considered the most efficient and appropriate means of continuing to support the effective operation of these key Council services. It has therefore been agreed that a new seven-year contract (5-year initial period, with the option to extend for a further 2 x 12 months) will be entered into via a direct call-off from the YPO Software Application Solutions (1095) Framework.</p> <p>The total value of the contract over the seven years will be £2,399,369.28.</p>	
<p>Alternative options considered and rejected:</p> <p>The following options were considered but rejected:</p> <p>Option 1: Not to proceed with the renewal of the social care case management system. However, this option is not recommended as the existing system is critical for the day-to-day-functions within both Adults and Children's Services.</p> <p>Option 2: Complete a full procurement exercise for a new system. This option is not recommended, as the Council is working successfully with the current solution, and the Council would incur significant costs associated with the procurement and implementation of a new system.</p>	
<p>Members/Officers/others consulted:</p> <p>Chris Bartlett, Business Relationship Manager, ICT Service Julie Lynn, Head of Business Development, Adult Services Lisa Briggs, Systems Development Manager, Together for Children Liz St Louis, Assistant Director of Smart Cities & Enabling Services Virginia Ainsley, ICT Category Manager, Corporate Procurement</p>	
<p>Where the decision was made under a specific express authorisation of Council, Cabinet, a Committee, Sub-Committee or Joint Committee, the name of any member who declared a conflict of interest in relation to the decision:</p> <p>N/A</p>	
<p>In respect of any declared conflict of interest, a note of any dispensation granted by the Council's Head of Paid Service:</p> <p>N/A</p>	
<p>Is the decision a key decision as defined in the Constitution? Yes If yes, on what basis?</p> <p>The total value of the contract exceeds £500,000.</p>	
<p>Does the decision contain Confidential / Exempt Information?</p> <p>No</p>	<p>If applicable, ground on which information is confidential/exempt:</p> <p>N/A</p>
<p>Have you provided Governance Services with a copy of this decision record and any reports, other documents or background papers considered? No</p> <p>NOTE: Do not forward documentation for publication if it contains exempt or confidential information. If in doubt, take advice from Law and Governance Services.</p>	
<p></p> <p>Signed:</p> <p>Name: Graham King (Officer making decision)</p> <p>Dated:21-July-23.....</p>	<p></p> <p>Signed:</p> <p>(Portfolio Holder/Chairman of Committee)</p> <p>Name (print): Cllr Kelly Chequer</p> <p>Dated: 21.07.2023</p>
<p>For completion in respect of any decisions which have financial implications and regarding which consultation is</p>	

<p>Counter Signed:</p> <p>Name (print):</p> <p>Position:</p> <p>Dated:</p>	<p>required with the Executive Director of Corporate Services (Director of Finance) or his/her representative.</p> <p>Signed: (Director of Finance or his/her representative)</p> <p>Name (print):</p> <p>Position:</p> <p>Dated:</p>
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Notes:

[1] Officers are reminded to follow the procedure set out in the Guidance on the Making and Recording of Decisions by Officers, in order to ensure compliance with the law. This is particularly important in the case of "key decisions."

[2] A "Key Decision" is an executive decision which is likely
(a) to result in the Council incurring expenditure which is, or the making of savings which are, significant having regard to the Council's budget for the service or function to which the decision relates; or
(b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards in the City.
For the purposes of (a) above, "significant" in terms of budget means £500,000 in savings or expenditure, whether capital or revenue

[3] A key decision will come into force and may be implemented on the expiry of five working days after publication, unless it is "called in".

[4] It is a requirement of the Constitution that Chief Officers will consult the relevant portfolio holder and/or the Chairman of the relevant Committee prior to taking action where the action has policy or significant financial implications or where the portfolio holder or Chairman has given prior indication that he or she wishes to be consulted on the matter or type of matter. Ward members should also be consulted as appropriate.