

SFBH	Provider position	Commissioning Position	Corporate Position	Full year compliance (Y/N)
C1a Incidents – Reporting and Learning	Compliant	Compliant at year end but with in year lack of assurance (April – Nov 2008)	n/a	Yes - Provider No – Commissioner. Lack of assurance around systems and process for learning and sharing.
C1b Safety Alerts	Compliant	Compliant	n/a	Yes
C2 Safeguarding Children	Compliant	Not yet known	n/a	Yes for Provider. Final position not yet agreed for commissioning. Update to be provided at meeting.
C3 Interventional Procedures	Compliant	n/a	n/a	Yes
C4a Infection Control	Compliant	n/a	n/a	Yes
C4b Safe use of Medical Devices	Compliant	n/a	n/a	Yes
C4c Decontamination	Compliant	n/a	n/a	Yes
C4d Medicines Management	Compliant	n/a	n/a	Yes
C4e Clinical Waste	Compliant	n/a	n/a	Yes
C5a NICE Technology Appraisals	Compliant	Compliant	n/a	Yes
C5b Clinical Supervision	Compliant	n/a	n/a	Yes
C5c Updating Clinical Skills and Techniques	Compliant	n/a	n/a	Yes
C5d Clinical Audit and Review	Compliant	n/a	n/a	Yes

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C6 Partnership	Compliant	Compliant	n/a	Yes
C7a&c Corporate and Clinical Governance	Corporate standard	Corporate standard	Compliant	Yes
C7b Honesty, Probity, etc	Corporate standard	Corporate standard	Compliant	Yes
C7e Discrimination	Corporate standard	Corporate standard	Compliant	Yes
C8a whistle-blowing	Corporate standard	Corporate standard	Compliant	Yes
C8b Personal Development etc	Corporate standard	Corporate standard	Compliant	Yes
C9 Records Management	Corporate standard	Corporate standard	Not yet known	Update to be provided at meeting
C10a Employment Checks	Corporate standard	Corporate standard	Compliant	Yes
C10b Professional Code of Conduct	Corporate standard	Corporate standard	Compliant	Yes
C11a Recruitment, Training & Skill Mix	Corporate standard	Corporate standard	Compliant	Yes
C11b Mandatory Training	Corporate standard	Corporate standard	Compliant by year end, but in year lack of assurance (April – Feb 2008)	No – Provider and Commissioner. Lack of assurance around attendance at mandatory safeguarding training. Training taking place, but lack of assurance of complete recording at levels 2 upwards. Systems put in place to address this.
C11c Professional development	Corporate standard	Corporate standard	Compliant	Yes
C12 Research Governance	Corporate standard	Corporate standard	Compliant	Yes
C13a Dignity and Respect	Compliant	Compliant	n/a	Yes

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C13b Consent	Compliant	Compliant	n/a	Yes
C13c Confidentiality of patient information	Corporate standard	Corporate standard	Compliant	Yes
C14a Accessible complaints procedure	Corporate standard	Corporate standard	Compliant	Yes
C14b Complaints and discrimination	Corporate standard	Corporate standard	Compliant	Yes
C14c Complaints response	Corporate standard	Corporate standard	Compliant	Yes
C15a Food – Provision (Sunderland only)	Compliant	n/a	n/a	Yes
C15b Food-individual needs (Sunderland only)	Compliant	n/a	n/a	Yes
C16 Accessible Information	Compliant	Compliant	n/a	Yes
C17 Patient and Public Involvement	Compliant	Compliant	n/a	Yes
C18 Equity, Choice	Compliant	Compliant	n/a	Yes
C20a Safe, Secure Environment	Corporate standard	Corporate standard	Compliant	Yes
C20b Privacy and Confidentiality	Compliant	n/a	n/a	Yes
C21 Clean, well Designed Environments	Compliant	n/a	n/a	Yes
C22a&c Public Health Partnerships	Compliant	Compliant	n/a	Yes
C22b Local Health Needs	Compliant	Compliant	n/a	Yes
C23 Public Health Cycle	Compliant	Compliant	n/a	Yes
C24 Emergency Preparedness	Compliant	Compliant	n/a	Yes

	Commissioned Service					
HCC Criteria	Acute	Community	MH & LD	Drug & Alcohol	Childrens	NEAS
For all commissioned services, the PCT has appropriate mechanisms through which it identifies and where appropriate responds to any significant concerns with regard to those commissioned services being consistent with the overall standard (each one)	Compliant- appropriate mechanisms in place	Compliant- appropriate mechanisms in place	Compliant- appropriate mechanisms in place	Compliant- appropriate mechanisms in place	Update to be provided at meeting	Compliant- appropriate mechanisms in place

	Independent Contractors			
HCC Criteria	GPs	Dentists	Pharmacists	Optometrists
For independent contractors, the PCT should have taken reasonable steps to assure itself that the services provided by independent contractors are consistent with the relevant aspects of the elements for each appropriate standard as set out in the criteri	Compliant - reasonable steps in place	Compliant - reasonable steps in place	Compliant - reasonable steps in place	Compliant - reasonable steps in place