

Risk and Assurance Map – 2023/24

Strategic and Corporate Risk Areas			1st Line												
	Current Risk Score	Cumulative Assurance Position	2nd Line											3rd Line	
			Other Internal Assurance Activity											Internal Audit	External Assurance
			Law & Governance	Financial	Programmes & Projects	Performance	DPO	ICT	People Mgt	Health & Safety	Business Continuity	Risk & Assurance	Internal Audit	External Assurance	
Strategic Risk Areas															
Dynamic Smart City															
More and better jobs	Amber	Green										Green			
More and better housing	Amber	Green										Green			
More local people with better qualifications and skills	Red	Amber										Green			
A stronger City Centre with more businesses, housing and cultural opportunities	Red	Green										Green			
A lower carbon City with greater digital connectivity for all	Amber	Green										Green			
Healthy Smart City															
Equitable opportunities and the best life chances for children	Green	Green										Green	Amber	Green	
Reduced health inequalities enabling more people to live healthier longer lives	Red	Amber										Green			
High quality support and social care that enables those who need it to live the life they want to lead	Amber	Green										Green	Green		
Cleaner and more attractive City and neighbourhoods	Amber	Amber										Green	Amber		
Great transport links with low carbon and active travel opportunities for all	Amber	Amber										Green			
Vibrant Smart City															
Residents feeling proud of the city and please where they live with more people active and participating in their communities	Amber	Green										Green			
More people visiting Sunderland with businesses thriving and more residents shaping and participating in cultural events	Amber	Amber										Green	Amber		
More people feeling safe in their homes and neighbourhoods and businesses benefitting from the city's safe and secure environment	Amber	Amber										Green			
Residents who are more resilient to ongoing challenges including the cost-of-living crisis	Amber	Green										Green			
Enabling															
Finance	Red	Green										Green	Green	Green	
Partnership Working	Amber	Amber										Green	Amber		
Corporate Risk Areas															
Strategic Planning	Amber	Green				Green						Green	Amber		
Commissioning	Amber	Amber	Green									Green	Amber	Amber	
Service Delivery Arrangements	Amber	Amber	Green									Green	Green	Amber	
Partnership/Integrated Working	Amber	Green	Green									Green	Amber	Green	
Procurement	Green	Green										Green	Green		
Relationship/Contract Management	Green	Amber										Green	Green	Amber	
Legality	Green	Green	Green	Green								Green	Green		
Risk Management	Amber	Amber										Green	Amber	Amber	
Corporate Performance Management	Green	Green				Green						Green			
Financial Management	Green	Green	Green	Green								Green	Green	Green	
Income Collection	Green	Green										Green	Green	Green	
Capital Programme Management	Amber	Amber			Green							Green	Amber		
People Management	Red	Amber	Green						Green			Green	Green	Amber	
Health and Safety	Amber	Amber	Green							Green		Green	Green		
ICT Infrastructure	Amber	Amber						Green				Green	Green		
Cyber Security	Amber	Amber						Green				Green	Green		
Information Governance/Security	Amber	Green	Green				Green					Green	Green		
Business Continuity Management	Amber	Amber									Green	Green	Green		
Programme and Project Management	Red	Amber	Green		Green							Green	Green	Amber	
Asset Management	Amber	Amber									Green	Green	Green		
Anti-Fraud and Corruption	Green	Green	Green								Green	Green	Green		
Council Owned Companies															
Sunderland Care and Support	Amber	Green		Green								Green	Green	Green	
Together for Children Sunderland	Green	Green		Green							Green	Green	Green	Green	
Siglion	Amber	Green		Green								Green	Green		

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance

