

Risk and Assurance Map – 31st March 24

Strategic and Corporate Risk Areas			1st Line												
	Current Risk Score	Cumulative Assurance Position	2nd Line											3rd Line	
			Other Internal Assurance Activity											Internal Audit	External Assurance
			Law & Governance	Financial	Programmes & Projects	Performance	DPO	ICT	People Mgt	Health & Safety	Business Continuity	Risk & Assurance	Internal Audit	External Assurance	
Strategic Risk Areas															
Dynamic Smart City															
More and better jobs	Amber	Green										X			
More and better housing	Amber	Green										X			
More local people with better qualifications and skills	Red	Amber										X			
A stronger City Centre with more businesses, housing and cultural opportunities	Red	Green										X			
A lower carbon City with greater digital connectivity for all	Amber	Green										X			
Healthy Smart City															
Equitable opportunities and the best life chances for children	Green	Green										X	Amber	Green	
Reduced health inequalities enabling more people to live healthier longer lives	Red	Amber										X			
High quality support and social care that enables those who need it to live the life they want to lead	Amber	Green										X	Green		
Cleaner and more attractive City and neighbourhoods	Amber	Amber										X	Amber		
Great transport links with low carbon and active travel opportunities for all	Amber	Amber										X			
Vibrant Smart City															
Residents feeling proud of the city and please where they live with more people active and participating in their communities	Amber	Green										X			
More people visiting Sunderland with businesses thriving and more residents shaping and participating in cultural events	Amber	Amber										X	Amber		
More people feeling safe in their homes and neighbourhoods and businesses benefitting from the city's safe and secure environment	Amber	Amber										X			
Residents who are more resilient to ongoing challenges including the cost-of-living crisis	Amber	Green										X			
Enabling															
Finance	Red	Green										X	Green	Green	
Partnership Working	Amber	Amber										X	Amber		
Corporate Risk Areas															
Strategic Planning	Amber	Green				X									
Commissioning	Amber	Amber	X											Amber	
Service Delivery Arrangements	Amber	Amber	X											Green	
Partnership/Integrated Working	Amber	Green	X											Green	
Procurement	Green	Green										X	Green		
Relationship/Contract Management	Green	Green										X	Green		
Legality	Green	Green	X	X									Green		
Risk Management	Amber	Green										X	Green	Amber	
Corporate Performance Management	Green	Green				X									
Financial Management	Green	Green	X		X								Green	Green	
Income Collection	Green	Green											Green	Green	
Capital Programme Management	Amber	Amber			X								Amber		
People Management	Red	Amber	X						X				Green	Amber	
Health and Safety	Amber	Green	X							X			Green		
ICT Infrastructure	Amber	Amber						X					Green		
Cyber Security	Amber	Amber						X					Green		
Information Governance/Security	Amber	Green	X				X						Green		
Business Continuity Management	Amber	Green	X							X			Green		
Programme and Project Management	Red	Amber	X		X								Green	Amber	
Asset Management	Amber	Amber								X			Green		
Anti-Fraud and Corruption	Green	Green	X										Green		
Council Owned Companies															
Sunderland Care and Support	Amber	Green		X									Green	Green	
Together for Children Sunderland	Green	Green		X						X			Green	Green	
Siglon	Amber	Green		X									Green		

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance