

STRATEGIC RISK PROFILE 2016-20

Appendix 2

Risk Likelihood	Risk Impact	
1 = Unlikely	1 = Minor	
2 = Possible	2 = Moderate	
3 = Likely	3 = Significant	
4 = Almost Certain	4 = Critical	

[illegible]

Corporate Plan Priority actions	ID	Strategic Risk Description	Cause	Impac	Current Controls	Impact Likelihood	Rating	Impact Likelihood	Rating	Mitigating Actions	Action Lead	Timescale	Impact Likelihood	Rating	Overall Assurance	Management Assurance	Law and Governance	Financial Resources	Programmes and Projects	Performance	ICT	HR and OD	Business Continuity	Risk and Assurance	Internal audit	External Assurance	
Transform Key Children's Services - Looked After Children, Early Help and Social Care	R017	Timely and sustainable solutions are not implemented for looked after children to improve the life chances for the most vulnerable children in the city	Assessments and Care Plan reviews do not provide the right help at the right time	Children remain in care for longer periods than necessary and are at a higher risk of becoming NEET	Ofsted Inspections Adoption Process Scrutiny Performance management Improvement Plan	4	4	16	4	2	8	Looked-after children have access to high quality care planning, review and support. They are supported in stable care placements and have access to and attend good schools	Director of Children's Services	March 2018	4	3	12				X				X		X
Transform Key Children's Services - Looked After Children, Early Help and Social Care	R018	Inability to change the structures (ie workforce, technology, processes, customer engagement), service capacity, and culture of the company to deliver the improved outcomes to vulnerable children.	Lack of capacity, resources, will or expertise to effect change. Lack of adequate planning. Poor management of change	Children remain at risk Children do not realise their full potential Children and families do not receive the support and care they need Council may have to provide further financial resources to support children's services.	Improvement Plan Business Plan for company approved by both Company and Council (commissioner) to ensure aligned to delivery of Council priorities and objectives. Monitoring of performance by Company and Council.	3	3	9	3	2	6	Delivery and close scrutiny of Improvement Plan and act on any areas for improvement identified during each Ofsted monitoring visit. Delivery and close scrutiny of Business Plan for Company. Implementation of recruitment & retention strategy. Directors to manage budgets with appropriate support from Finance. This includes monthly meetings with Budget Holders and formal reporting arrangements to TIC Board, OCG and the Council. A Base Budget review exercise will be completed to inform options available.	Director of Children's Services	March 2018	3	3	9								X		
Choice and Independence in Care	R019	Activity to improve the care options for adults does not meet the needs of individuals or result in reduced costs to the Council	Solutions do not provide for increased independence and therefore require more expensive provision	Unable to provide vulnerable adults with effective choice over their care Savings not achieved Significant additional cost to the Council	Assistive technology delivery plan in place Technology Forum set up to consider ideas for solutions Capital invest to save bid submitted De-registration of homes for adults with physical and mental health problems almost complete Review of more flexible service delivery methods being undertaken	3	2	6	3	1	3	Deliver the assistive technology delivery plan Develop assessment criteria for considering options brought to the technology forum Consider options for providing electronic needs assessments	Executive Director of People Services	December 2017 September 2017 July 2018	3	2	6	Protecting Vulnerable Adults		X					X		
Community Safety & Cohesion	R020	Agitators use national issues / incidents to heighten tensions in local communities in Sunderland	Terrorist incidents are blamed on specific ethnic / faith groups, raising anxiety in areas where they live in Sunderland	Sections of the community become isolated.Young people become radicalised through fear.	Safer Sunderland Partnership Citywide Prevent Action Plan Monthly cohesion meeting with lead Superintendent from Northumbria Police chaired By Director of Strategy, Partnerships and Transformation. Regular consideration of Community Tension Assessments from Northumbria Police. Significant issues raised weekly with Chief Officer	4	2	8	4	1	4	Support Partners to improve community safety and maintain high levels of feelings of safety for all	Director of Strategy, Partnerships and Transformation	September 2018	4	2	8	Building Resilient Communities							X		
Welfare Reform	R021	Individuals do not maximise their access to welfare benefits	Individuals do not understand the support available following benefit reforms	Increased poverty and homelessness	Health & Wellbeing Board Priority Delivery Plans	4	3	12	4	2	8	Challenge practice and systems at a national level Work with partners to support people to help themselves to minimise impact of welfare reform	Head of Integrated Commissioning	March 2018	4	3	12			6	X				X		

