Appendix 1

Risk and Assurance October 2020

Strategic and Corporate Risk Areas			1st Line	2nd Line									3rd Line	
	Current Risk Score	Cumulative Assurance Position	Management Assurance	Other Internal Assurance Activity									Internal Audit	External Assurance
				Law & Governance / DPO	Financial Resources	Programmes & Projects	Performance	ICT	People Mgt	Health & Safety	Business Continuity	Risk & Assurance		
Strategic Risk Areas														
Dynamic City														
More and better jobs												X		
More and better housing												X		
More local people with better qualifications and skills to enable them to participate in and benefit from a stronger economy												X		
A stronger City Centre with more businesses, housing and cultural opportunities												X		
A lower carbon City with greater digital connectivity for all												X		
Healthy City														
Access to the same opportunities and life chances												X		X
More people living healthier longer lives												X		
People enjoying independent lives												X	X	
Cleaner and more attractive City and neighbourhoods												X	X	
A City with great transport and travel links												X		
Vibrant City														
More creative and cultural businesses												X		
More residents participating in their communities												X		
More visitors visiting Sunderland and More residents participating in cultural events												X		
More people feel safe in their neighbourhoods and homes												X		
More resilient people												X		
Enabling														
Finance												X		X
Partnership Working												X	X	
Corporate Risk Areas														
Strategic Planning			X				X						X	
Commissioning			X											
Service Delivery Arrangements			X									X	X	
Partnership/Integrated Working			X											
Procurement												X	X	
Relationship/Contract Management			X										X	
Legality			X	X								X	X	
Risk Management			X									X	X	
Corporate Performance Management			X				X						X	
Financial Management			X		X								X	X
Income Collection					X								X	X
Capital Programme Management					X							X	X	
People Management			X						X	X			X	
Health and Safety			X							X			X	
ICT Infrastructure								X				X	X	
Cyber Security								X				X	X	
Information Governance/Security			X	X								X	X	
Business Continuity Management			X								X			
Programme and Project Management			X			X						X	X	
Asset Management			X							X		X		
Anti-Fraud and Corruption			X										X	
Council Owned Companies														
Sunderland Care and Support Ltd.			X		X								X	
Together for Children Sunderland Ltd.			X		X						X		X	X
Sunderland Homes Ltd.														
Siglion LLP			X		X								X	

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance