Appendix 1

Risk and Assurance Map

Strategic and Corporate Risk Areas			2016/17										
			1st Line	2nd Line								3rd Line	
			Management Assurance	Other Internal Assurance Activity								Internal Audit	External Assurance
	Current Risk Score	Cumulative Assurance Position		Law and Governance	Financial Resources	Programmes and Projects	Performance	ICT	HR &OD	Business Continuity	Risk and Assurance		
Strategic Risk Areas													
Growing the Economy			X		X	X	X				X		
Improving Education and Skills			X			X	X				X		
Improving Health and Wellbeing			X			X	X				X	X	
Supporting Vulnerable Children and Families			X		X	X	X				X		
Supporting Vulnerable Adults and Carers			X		X	X	X				X		
Building Resilient Citizens and Communities			X				X				X		
Corporate Risk Areas													
Commissioning			X								X	X	
Strategic Planning			X				X				X	X	
Service/Business Planning			X				X					X	
Service Delivery Arrangements			X				X				X	X	
Performance Management			X				X				X	X	
Partnership/Integrated Working			X								X	X	
Procurement			X								X	X	
Relationship/Contract Management			X								X	X	
Legality			X	X							X	X	
Risk Management			X								X		
Performance Reporting							X					X	
Strategic Financial Management					X							X	X
Financial Reporting					X							X	
Financial Management			X		X						X	X	X
Income Collection					X						X	X	X
Capital Programme Management					X						X		
HR Management			X						X		X	X	
ICT Infrastructure			X					X			X		
Cyber Security								X			X		
Information Governance/Security			X	X							X	X	
Business Continuity Management			X							X	X		
Programme and Project Management			X			X					X		
Asset Management			X									X	
Anti-Fraud and Corruption			X									X	
Council Owned Companies													
Sunderland Care and Support			X		X								

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance