Appendix 1

Risk and Assurance Map

April 2019

Strategic and Corporate Risk Areas	Current Risk Score	Cumulative Assurance Position	1st Line: Management Assurance	2nd Line — Law & Governance	Financial Resources	Programmes & Projects	Performance	ICT	HR &OD	Health & Safety	Business Continuity	Risk & Assurance	3rd Line: Internal Audit	External Assurance
Strategic Risk Areas														
Growing the Economy	Amber	Green					X (Green)					X (Green)		
Promoting Better Living & Working	Amber	Green					X					X (Amber)		
Maximising the Cultural Offer	Green	Green					X							
Improving Education and Skills	Red	Green					X (Green)					X (Green)		
Improving Health and Wellbeing	Red	Amber					X					X (Amber)		
Protecting Vulnerable Children	Red	Red					X					X (Amber)	X	Red
Protecting Vulnerable Adults	Amber	Amber					X					X (Amber)	X (Red)	
Building Resilient Communities	Amber	Amber					X					X (Green)		
Corporate Risk Areas														
Commissioning	Amber	Amber	X (Green)									Amber		Amber
Strategic Planning	Amber	Green	X (Green)				X (Green)					X (Green)		
Service/Business Planning	Amber	Green	X (Green)				X (Green)						X	
Service Delivery Arrangements	Amber	Amber	X (Green)									X (Amber)	X (Amber)	
Performance Management	Amber	Green	X (Green)				X (Green)					X (Amber)	X	
Partnership/Integrated Working	Red	Green	X (Green)									X (Green)	X	
Procurement	Green	Green										X (Green)	X (Amber)	
Relationship/Contract Management	Red	Green	X (Green)				X (Amber)					X (Green)		Amber
Legality	Green	Green	X (Green)	X (Green)								X (Green)		
Risk Management	Amber	Green	X (Green)									X (Green)	Amber	
Performance Reporting	Green	Green	X (Green)				X (Green)							
Strategic Financial Management	Green	Green			X (Green)									X
Financial Reporting	Green	Green			X (Green)									
Financial Management	Green	Green	X (Green)		X (Green)							X (Amber)	X (Green)	X (Green)
Income Collection	Green	Green											X (Amber)	X (Amber)
Capital Programme Management	Amber	Amber			X (Green)							X (Green)	X (Amber)	
HR Management	Red	Amber	X (Green)						X (Amber)	X (Green)		X (Amber)	X (Amber)	
Health and Safety	Red	Amber	X (Amber)							X (Amber)		X (Amber)		
ICT Infrastructure	Amber	Amber						X (Green)				X (Amber)	X (Amber)	
Cyber Security	Amber	Amber						X (Amber)				X (Amber)	X (Amber)	
Information Governance/Security	Red	Amber	X (Green)	X (Amber)								X (Amber)		
Business Continuity Management	Amber	Amber	X (Green)								X (Green)	X (Amber)	X (Green)	
Programme and Project Management	Amber	Amber	X (Green)			X (Green)						X (Amber)	X (Amber)	
Asset Management	Red	Amber	X (Green)							X (Amber)		X (Amber)		
Anti-Fraud and Corruption	Green	Green	X (Green)									X (Green)		
Council Owned Companies														
Sunderland Care and Support Ltd.	Amber	Amber	X (Green)		X (Green)								Amber	
Together for Children Sunderland Ltd.	Amber	Red			X (Red)					X (Amber)			X (Amber)	X (Red)
Sunderland Homes Ltd.					X								X	X
Siglion LLP					X							X	X	

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance