

Appendix 2

Risk Likelihood

1 = Unlikely
2 = Possible
3 = Likely
4 = Almost Certain

Likelihood	4				
	3				
	2				
	1				
		1	2	3	4
Negative Impact					

[illegible]

Original Score	Target Score	Current Score	Assurance		
			1st Line	2nd Line	3rd Line

											Assurance															
		Original Score			Target Score						Current Score			1st Line		2nd Line							3rd Line			
Risk Code	Strategic Risk Description	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area	Overall	MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit
R014	The health of the population does not improve							Develop the Joint Health & Wellbeing Strategy with an action plan, to address the major issues identified in the Joint Strategic Needs Assessment.	Gillian Gibson	31-Mar-19				Improving Health & Wellbeing												
		4	3		4	2	The Council to incorporate an approach to health in all its policies			31-Mar-19	4	3														

		Original Score			Target Score						Current Score					Assurance											
Risk Code	Strategic Risk Description	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area	Overall	1st Line	2nd Line					3rd Line					
																MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit	
R015	Safeguarding practice does not substantially improve to make children safer.							Deliver the improvement plan that has been agreed with Ofsted	Jill Colbert	30-Sep-18				Protecting Vulnerable Children													
		4	4		4	2		Embed a culture of good performance and quality		30-Sep-18	4	3															

		Original Score			Target Score						Current Score			Assurance														
Risk Code	Strategic Risk Description	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area		1st Line			2nd Line					3rd Line				
																MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit		
R016	Timely interventions are not undertaken to deliver early help/support to vulnerable children							Children and families in need of help are identified and multi-agency services act together to improve outcomes	Jill Colbert	30-Sep-18				Protecting Vulnerable Children														
		4	4		4	2					4	3																

		Original Score			Target Score						Current Score			Assurance														
Risk Code	Strategic Risk Description	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area	Overall	1st Line	2nd Line								3rd Line			
																MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit		
R017	Timely and sustainable solutions are not implemented for looked after children to improve the life chances for the most vulnerable children in the city							Looked-after children have access to high quality care planning, review and support. They are supported in stable care placements and have access to and attend good schools	Jill Colbert	30-Sep-18				Protecting Vulnerable Children														
		4	4		4	2					4	3																

		Original Score			Target Score						Current Score			Assurance													
Risk Code	Strategic Risk Description	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area	Overall	1st Line	2nd Line								3rd Line		
																	MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit
R018	Inability to change the structures (ie workforce, technology, processes, customer engagement), service capacity, and culture of the company to deliver the improved outcomes to vulnerable children.	3	3		3	2		Delivery and close scrutiny of Improvement Plan and act on any areas for improvement identified during each Ofsted monitoring visit.	Jill Colbert	31-Mar-19	3			Protecting Vulnerable Children													
								Delivery and close scrutiny of Business Plan for Company.																			31-Mar-19
								Implementation of recruitment & retention strategy.																			31-Mar-19
								Directors to manage budgets with appropriate support from Finance. This includes monthly meetings with Budget Holders and formal reporting arrangements to TIC Board, OCG and the Council.																			31-Mar-19
								A Base Budget review exercise will be completed to inform options available																			31-Mar-19

		Original Score		Target Score					Current Score		Assurance																
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																MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit	
R019	Activity to improve the care options for adults does not meet the needs of individuals or result in reduced costs to the Council							Liquid Logic social care system is now live. Phase 2 is to deliver customer/citizen portal.	Fiona Brown	31-Mar-19				Protecting Vulnerable Adults													
		3	2		3	1		Joint work with NHS regarding continuing healthcare.		31-Mar-19																	
								Review of telecare service provision.		31-Mar-19	3	2															

		Original Score			Target Score								Current Score			Assurance												
		Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	Action Lead	Due Date	Impact	Likelihood	Rating	Strategic Risk Area	Overall	1st Line	2nd Line								3rd Line			
Risk Code	Strategic Risk Description															MGT	Legal	Financial	Projects	Performance	ICT	HR&OD	BCM	Risk	Internal Audit	External Audit		

