

## AUDIT AND GOVERNANCE COMMITTEE

4 February 2022

### RISK AND ASSURANCE MAP - CONSULTATION FOR 2022/23

#### Report of the Assistant Director of Business and Property Services

#### 1. Purpose of Report

- 1.1 Each year the Audit and Governance Committee is consulted at an early stage on the development of the plans of work for the Internal Audit and Risk and Assurance teams for the forthcoming year to give members the opportunity to raise any issues which they feel should be considered.
- 1.2 The allocation of resources will continue to be flexible throughout the year. Based on knowledge of the work of the Council currently, there are a number of areas that are expected to be a priority for 2022/23. These are as follows:
  - On-going audit work in relation to Council owned companies: Sunderland Care and Support, Together for Children and Siglion.
  - Activity to deliver the City Plan, including the management of risks and projects to deliver key priorities.
  - Economic Development, including City Centre Developments, the International Advanced Manufacturing Park and the proposed River Wear Footbridge.
  - Council's Smart Cities programme.
  - Council's approach to Cyber Security.
  - Arrangements for reviewing care packages when patients move from hospital to home.
  - Business continuity for assistive technology (adult social care) devices.
  - Information Governance/General Data Protection Regulations compliance.
  - Compliance with the Asset Management Policy and Strategy.
  - National Fraud Initiative and counter fraud work.
  - Key corporate functions/systems, particularly where significant changes / budget reductions are planned or have occurred.

Consultation with the Chief Officers and key senior managers is ongoing and will be considered as part of the finalisation of the plan before presenting to the Committee in April.

- 1.3 A discussion will be held at the Committee to seek its input for the Risk and Assurance Map, and the plans of work for Internal Audit and Risk and Assurance for 2022/23.

## **2. Recommendation**

- 2.1 The Committee is asked to consider and comment on the areas mentioned above and any additional areas which should be considered.