

## **SCRUTINY CO-ORDINATING COMMITTEE**

### **AGENDA**

**Meeting to be held in the City Hall (Committee Room 1) Plater Way, Sunderland on Thursday 9<sup>th</sup> March, 2023 at 5.30 p.m.**

#### **Membership**

Cllrs Bond, Butler, Curtis, Doyle, Edgeworth, Hartnack, Mason-Gage, Mullen, P. Smith, D. Snowdon, D.E. Snowdon (Chair), Thornton, H. Trueman and Watson (Vice Chair).

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1.	<b>Apologies for Absence</b>	-
2.	<b>Minutes of the last meeting of the Committee held on 9<sup>th</sup> February 2023</b> (copy attached).	1
3.	<b>Declarations of Interest (including Whipping Declarations)</b>	-
	<b>Part A – Cabinet Referrals and Responses</b>	
	No Items	
	<b>Part B – Scrutiny Business</b>	
4.	<b>Council Risk Register</b>	6
	Report of the Assistant Director of Assurance and Property Services (copy attached).	
5.	<b>Work Programme 2022/23</b>	18
	Report of the Scrutiny, Mayoral and Member Support Co-ordinator (copy attached).	

6. **Notice of Key Decisions**

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Report of the Scrutiny, Mayoral and Member Support  
Co-ordinator (copy attached).

**Part C – Health Substantial Variations to Service**

No items.

**Part D - CCFA/Members' Items/Petitions**

No items.

E. WAUGH,  
Assistant Director of Law and Governance,  
City Hall,  
SUNDERLAND.

28<sup>th</sup> February, 2023.

## Item 2

**At a meeting of the SCRUTINY CO-ORDINATING COMMITTEE held in COMMITTEE ROOM 1, CITY HALL, SUNDERLAND on THURSDAY, 9<sup>th</sup> FEBRUARY 2023 at 5.30 p.m.**

### **Present:-**

Councillor Watson in the Chair

Councillors Butler, Curtis, Doyle, Mason-Gage, Mullen and P. Smith.

Also in attendance:-

Mr Nigel Cummings, Scrutiny Officer, Law and Governance, Corporate Services Directorate

Mr Matthew Jackson, Principal Governance Services Officer, Law and Governance, Corporate Services Directorate

Ms Beverley Poulter, Corporate Strategy Manager, Strategy and Corporate Affairs Directorate

Ms Gillian Robinson, Scrutiny, Mayoral and Member Support Co-ordinator

Mr Paul Wilson, Director of Finance, Finance Directorate

The Chairman welcomed everyone to the meeting.

### **Apologies for Absence**

Apologies for absence were received from Councillors Bond, Edgeworth, Hartnack, D. Snowdon, D.E. Snowdon, and Trueman.

### **Minutes of the last meeting of the Committee held on 12<sup>th</sup> January, 2023**

1. RESOLVED that the minutes of the last meeting of the Committee held on 12<sup>th</sup> January 2023 (copy circulated), be confirmed and signed as a correct record.

### **Declarations of Interest (including Whipping Declarations)**

Item 4B.(i) – Capital Programme 2023/24 to 2026/27

Councillor Doyle made an open declaration in respect of Fulwell Junior School as a Council Appointed Governor. He also made an open declaration in respect of the funding options in respect of development at Nile and Villiers Street due to the involvement of his employer in this matter. He made a further open declaration that he was a Council representative of Tyne and Wear Fire and Rescue Authority.

Councillor P. Smith made an open declaration in respect of the funding for Gentoo as she was a Council representative for Gentoo Group Limited and Gentoo Homes Limited.

## Item 6 – Notice of Key Decisions

Councillor Doyle made an open declaration in respect of item number 220621/720 (To approve funding options in respect of development at Nile and Villiers Street Sunnyside) due to the involvement of his employer in relation to the matter on the notice regarding the development.

### **Reference from Cabinet – 2<sup>nd</sup> February 2023 – Budget and Service Reports:-**

**(A) Collection Fund (Council Tax) 2022/23**

**(B)(i) Capital Programme 2023/24 to 2026/27 and Treasury Management Policy and Strategy 2023/24, including Prudential Indicators for 2023/24 to 2026/27**

**(B)(ii) Revenue Budget and Proposed Council Tax for 2023/24 and Medium Term Financial Plan 2023/24 to 2026/27**

The Assistant Director of Law and Governance submitted a report (copy circulated) which sought the advice and consideration of the Committee on a number of reports considered by Cabinet on 2<sup>nd</sup> February 2023 on the Revenue Budget and Capital Programme for 2023/24. Members views would assist the Council with its service and financial planning arrangements.

(For copy report – see original minutes)

Mr Paul Wilson, Director of Finance, briefed the Committee on the reports and advised that they had been considered by Cabinet and Audit and Governance Committee.

Members discussed the Capital Programme and Treasury Management Policy and Strategy and raised the following questions.

Councillor Mullen queried whether there was any guarantee of the city receiving a share of the funding for the regional mayor and also asked for information on the progress being made on the projects funded through the levelling up funding. Mr Wilson advised that there was a consultation process ongoing around the creation of a combined regional mayor and there was no specific funding allocated to Sunderland as part of this. The projects allocated funding through levelling up were all progressing as planned. There had been an application made for round 2 levelling up funding however this had not been successful.

Councillor Butler queried whether there was any indication of what amount there would be from the Schools Devolved Funding for the future years. Mr Wilson advised that there was no definitive information so far and that sometimes there was just one year allocations made which did make planning for the future challenging.

Councillor Doyle referred to Section 106 reserves and raised the Community Infrastructure Levy; he knew that in the past there had been resistance to implementing the levy in order to incentivise development however he felt that there was a need to look at this again as there had been a significant amount of development both carried out and planned and there was a need for funds to cover the associated infrastructure improvements. There was less likelihood of there being

the large scale reform which had been anticipated in previous years. Mr Wilson advised that there was a need to balance between development being viable and ensuring that infrastructure was provided; he would look into this.

The Revenue Budget and Proposed Council Tax and Medium Term Financial Plan was then considered. Mr Wilson advised that the refreshed City Plan was appended to this report and also advised that the outcome of the final Local Government Revenue Support Grant Settlement for 2022/23 and the additional grant announcements had now been made; the government had based the grants on the assumption that council tax would be increased by the maximum 4.99 percent. There had been reductions to the New Homes Bonus and to the Services Grant. More clarity had now been provided on the Social Care Grant, the implementation of the proposed reform had now been pushed back which allowed this grant to be used for social care provision. There had been additional funding provided to assist the most vulnerable households with their council tax bill and those households in receipt of council tax support would have £25 support applied to their bills. The proposed increase in council tax was 2.99percent. The Police and Crime Commissioner had now supplied their council tax precept proposals which saw their precept increase by the maximum allowed. The proposals from Tyne and Wear Fire and Rescue Service had not yet been received however it was anticipated that they would be proposing the maximum increase.

Councillor Mullen referred to the revenue budget in Appendix J (set out on page 225 of the agenda) and asked what the difference was between “Corporate and Strategic Management” and “Corporate Management” given that there was a significant cost difference between the two. Mr Wilson agreed to find out. Councillor Mullen then asked whether Mr Wilson was happy with the £12million general reserve and whether he would be comfortable if it was less than that. Mr Wilson advised that he felt that this was the minimum level it could be to allow for inflationary pressures. Councillor Mullen then asked whether Mr Wilson would have advised a higher level of council tax increase. Mr Wilson replied that it was a balancing act; a larger increase would allow for more resources which would put the council into a better position however it was also important to recognise the challenges faced by residents.

Councillor Butler commented that the economy could be in a better position come 2025 so it was not surprising that there had been proposals pushed back to then. The city had lost so much over the last 10 years and was now expected to be grateful for receiving a small amount back from the government. The council tax increase would hurt hard working families the most. He asked Mr Wilson to expand on the statement that the government expected Council's to raise the council tax by the maximum amount. Mr Wilson stated that the government made assumptions about the level of council tax increase when deciding what funding needed to be provided nationally and what would be provided through locally raised funds. Councillor Butler then asked whether, following the proposed increase, the council tax would be the lowest in the North East. Mr Wilson confirmed that this was the case; even if other authorities in the region did not increase their council tax at all Sunderland would still have the lowest council tax; it was expected that the other authorities would be raising theirs by more than Sunderland.

In response to Councillor P. Smith regarding the Public Health Grant Mr Wilson advised that the details were still awaited; the information around this grant always came later and this did make planning challenging. The government had said that

there would be an increase in real terms each year however so far that had not been seen.

Councillor Curtis questioned the support towards energy costs and the implications for small businesses and organisations. He referred to the Box Youth Project which had seen their bills increase by £800 per month following the end of their fixed rate deal. Mr Wilson advised that the changes to the support scheme had seen a reduction in the support available; the Council was expecting an increase of £5.5million on their energy bills and this did not trigger the provision of any support for the Council. There needed to be significant increases before any support became available. Any organisations which were eligible for support would receive this directly from the energy provider.

Councillor Mason-Gage referred to the school transport provision and what would happen if fuel costs increased resulting in the budget being spent early. Mr Wilson advised that this budget was managed by Together For Children and that they would need to manage the budget available to them; should it be necessary there was a general reserve fund to fall back on.

The Chair then asked if the City Plan was now finalised. Ms Poulter advised that it was. There had been changes made since the plan had been presented to the Committee in draft form including a new format; it would be published in a dynamic format which would allow readers to navigate the document more easily including being able to find additional information about projects referred to in the plan. The Committee's suggestions from the previous meeting had been taken on board and incorporated into this final version of the plan, this included business being reintroduced into the Vibrant city priority and the word Pride being included in the plan; pride in the city had not been lost from the plan but the word had not been included in the previous draft. The visions set out in the Vibrant city theme were now more descriptive and the timeline was completed.

Members having discussed the budget reports and City Plan it was:-

2. RESOLVED that the Committee noted and acknowledged the information in the reports.

The Committee was pleased to note that despite the ongoing pressures for the Council and its services that we had also recognised the challenges facing our residents in setting the Council Tax for 2023/24, and therefore noted the recommended council tax increase, which still needed to be approved by Council.

The Committee also noted the proposed budget savings proposals within the reports and the budget consultation that was undertaken.

Additionally, the Committee recorded its thanks to Officers and Members for their continued work and diligence in preparing the budgetary information presented to this committee and other key stakeholders and has no further comment to make.

## **Work Programme 2022/23**

The Scrutiny, Mayoral and Member Support Co-ordinator submitted a report (copy circulated) attaching, for Members' information, the thematic Scrutiny Committee work programmes for 2022/23 and which provided an opportunity to review the Committee's own work programme for 2022/23.

(For copy report – see original minutes.)

Mr Nigel Cummings presented the report, updating Members on the current position regarding the Work Programmes of the Scrutiny Committees. He advised that a workshop had been arranged for Members on 13<sup>th</sup> February to consider the Report It Tool. He also advised that the Economic Prosperity Scrutiny Committee was to be considering the City Centre Regeneration Plan at the meeting on 7<sup>th</sup> March and there was an open invite to this meeting for all Members.

3. RESOLVED that the Scrutiny Committees' work programmes for 2022/23 and the variations to these work programmes be noted, together with the current scrutiny budget position.

### **Notice of Key Decisions**

The Scrutiny, Mayoral and Member Support Co-ordinator submitted a report (copy circulated), providing Members with an opportunity to consider those items on the Executive's Notice of Key Decisions for the 28-day period from 25<sup>th</sup> January 2023.

(For copy report – see original minutes.)

Consideration having been given to the report, it was :-

4. RESOLVED that the Notice of Key Decisions be received and noted.

The Chairman then closed the meeting, having thanked everyone for their attendance.

(Signed) S. WATSON,  
Chairman.

# Item 4

**SCRUTINY COORDINATING COMMITTEE**

**9 MARCH 2023**

## **COUNCIL RISK REGISTER**

### **REPORT OF ASSISTANT DIRECTOR OF ASSURANCE AND PROPERTY SERVICES**

#### **1. Introduction**

- 1.1 A request has been made that a report be brought to this Committee setting out the Council's Risk Register.

#### **2. Strategic and Corporate Risk Profiles**

- 2.1 The key risks to the Council are set out in two documents, as follows:
- Strategic Risk Profile – risks are set out against the key areas in the Council's City plan (see Appendix 1).
  - Corporate Risk Profile – risks are set out against the Council's key procedures and arrangements for managing operational day to day business (see Appendix 2).
- 2.2 The risk profiles set out the risk description, potential causes of the risk and the potential impacts should the risk materialise. These are assessed to determine a current risk score. Mitigating actions are included along with an expected target risk score once the mitigating actions are complete. The Risk Profiles are kept under review. Once the target score is reached a further assessment is made to determine if further mitigations could reduce the score anymore and if so, new actions are included and another target score will be shown.
- 2.3 In addition to the risks the profiles also show how well the risks are being managed through assurances gathered from various sources. This is based on the three lines of defence model, as follows:
- First line – assurance gathered from management regarding how well they think risks are being managed in their areas of responsibility, this is obtained through the Council's annual governance questionnaire.
  - Second line – assurance gathered from specialist functions which have a corporate role and have a view of how well risks are managed in relation to their specialist areas, such as Finance or People Management. This is obtained through the completion of assurance schedules by the specialist functions on a quarterly basis.



- Third line – assurance gathered from independent sources such as Internal Audit, the Council’s external auditors and external inspectorates such as OFSTED. This is obtained from the results of Internal Audit’s annual audit plan over a three-year period and external reports as and when they are received.

All of the assurances gathered provide an overall assurance level for the key risk areas set out in the risk profiles.

- 2.4 All the information included within the risk profiles is reported to Chief Officers and the Audit and Governance Committee on a quarterly basis.
- 2.5 On an annual basis a report is prepared for presentation to Council setting out the areas of activity that the Audit and Governance Committee have been involved in for the previous financial year. As this includes regular review of the Risk Profiles this provides assurance to Council that the Committee is fulfilling its duties.

#### **4 Conclusion**

- 4.1 In conclusion, the Council has an integrated approach to assessing the strategic risks and operational risks at a corporate level, and for monitoring how well these risks are being managed. This is reviewed on a regularly basis by Chief Officers and the Audit and Governance Committee and annually by Council.



City Plan Theme	City Plan Priority actions	ID	Strategic Risk Description	Cause	Impact	Current Controls	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	COG Lead	Overall Assurance	Management Assurance	Risk and Assurance	Internal Audit	External Assurance
	A lower carbon city with greater digital connectivity for all.	R07	Resources and critical infrastructure are not in place to enable the Council to become carbon neutral by 2030 and Sunderland to be carbon neutral by 2040 .	Measures are not in place to meet the aspirations of the Council and City to become carbon neutral. Limited business take-up of low carbon initiatives	Fail to reduce greenhouse gas emissions and make related financial savings.	Carbon Management Plan. City Plan.	4	4	16	3	2	6	Implement the Low Carbon Framework and Delivery plan to reduce individual carbon footprints, improve energy efficiency of existing homes and buildings, develop low carbon and active transport modes, develop renewable energy generation / storage grow the city's green economy, reduce the volume of all consumption and waste, increase opportunities to reuse materials and recycle waste. Sunderland's Low Carbon framework sets an ambition for the Council becoming carbon neutral by 2030 and the City to become carbon neutral by 2040. Carbon Action Plan is being refreshed. However inflation and financial pressures may slow the take up of carbon reduction initiatives	Executive Director of City Development					
	Access to equitable opportunities and life chances.	R08	The Council is not able to fulfil its statutory responsibility for Children and Young People and also ensure families are supported to enable them to achieve their desired outcomes.	Children and young people, if not protected, are at risk of harm or exploitation by others. Families are in need of support to respond to challenges and achieve the best possible outcomes for their children	Adverse impact on vulnerable children at both an educational and safeguarding perspective. Children and young people are at risk and harm or exploitation by others.	TfC contract monitoring arrangements. City Plan. Outstanding Ofsted outcome	4	1	4	4	1	4	Ofsted Report August 21 "Leaders and managers are confident, ambitious and influential in changing the lives of local children, young people and their families including cared for children and care experienced young people." "A new and vibrant culture is now widespread across children's services in Sunderland. This successful approach has resulted in children's voices and influence being central to assessments, planning and interventions. Staff across the council and the multi-agency partnerships are hugely focused on seeking to identify vulnerabilities and needs, providing support for children and families before problems escalate.	Director of Children Services					
	Access to equitable opportunities and life chances.	R09	Families are not sufficiently resilient and resourceful to respond to challenges and achieve the best possible outcomes for their children.	Families unable to support children at home with educational progress. Families do not have financial stability due to the cost of living crisis. Parents do not have emotional stability to support their families.	Adverse impact on vulnerable children at both an educational and safeguarding perspective. Children and young people are at risk from harm or exploitation by others.	TfC City Plan. Outstanding Ofsted outcome	4	2	8	4	1	4	Monitor commissioning arrangements and outcomes, including the priority areas of Safeguarding, the development of life skills and support for families, which enhance access to the same opportunities and life chances. Joint work between TfC and SCC on MTFP to ensure joined up financial planning	Director of Children Services					
HEALTHY SMART	Reduced health inequalities enabling more people to live healthier longer lives.	R10	Unable to improve the historically poor Health outcomes in Sunderland and reduce Health inequalities.	Adverse impact of Covid 19 and the cost of living crisis on health inequalities. The Sunderland Joint Strategic Needs Assessment identified high level health challenges for Sunderland including: Long term health problems- excessive alcohol, smoking, poor diet and low levels of physical activity. Poor mental health and wellbeing. Increased health risks of people with a physical or learning disability.	Life expectancy and healthy life expectancy are below the national average. Ill health continues to present an unsustainable burden on the health and care system and wider City economy.	Joint Strategic Needs Assessment. Health & Wellbeing Board. H&WB Priority Working Groups City Plan. Altogether Better Alliance	4	4	16	4	2	8	Healthy City Plan agreed to address the major issues identified in the Joint Strategic Needs Assessment The Health & Wellbeing Board oversees the Delivery Plan and Workstreams including, Best Start in life, Young people aged 11-19, Smoke free Sunderland, Addressing alcohol harms, Healthy economy, Mental health and wellbeing, Ageing well. There is also a Covid -19 health inequalities workstream to address the health inequalities amplified during the pandemic. Council has prioritised its ways of working in developing an approach to tackling inequalities. The creation of a new Health, Housing & Communities Directorate, provides greater scope to address long term health issues in the community.	Executive Director of Health, Housing & Communities					
	Reduced health inequalities enabling more people to live healthier longer lives.	R11	Unable to control variants of the Covid virus, and other communicable diseases, which could increase the spread of the infection across Sunderland.	Complexities in controlling the spread of the virus / variants. Individuals do not adhere to guidance. Fewer people are having their seasonal boosters	Adverse impact on peoples health, both short and long term (including council employees). People are asked to self isolate.	Sunderland Health Protection Board Sunderland Outbreak Control Board	4	3	12	4	1	4	Mitigation will be based on the COVID-19 Control Plan. Continued rollout and development of the vaccination process.	Executive Director of Health, Housing & Communities					
	Reduced health inequalities enabling more people to live healthier longer lives.	R12	The introduction of a statutory Integrated Care System with a regional Integrated Care System (ICS) Health and Care Partnership, covering the North east and Cumbria may reduce the resources available in Sunderland for Health and Social Care	Under new proposals NHS and local authorities will be given a duty to collaborate with each other under a statutory Integrated Care Systems (ICSs). These will include an ICS Health and Care Partnership, bringing together the NHS, local government and partners,	A regional ICS Health and Care Partnership, covering the North east and Cumbria, may prioritise areas outside of Sunderland	Health & Wellbeing Board.	3	3	9	3	2	6	Local partners to work together to promote Sunderland interests at a regional level. Assistant Director of Integrated Commissioning jointly appointed (CCG) to develop Sunderland Based Place Arrangements. National changes to the NHS may be delayed and this may impact on local arrangements. Integrated Care System now live. High level Place Based arrangements have been agreed, with work on-going to develop the supporting requirements.	Executive Director of Health, Housing & Communities					
	People enjoying independent lives.	R13	Current model of social care cannot be sustained in the future, due to increasing pressures within the social care environment.	Increase in the level of long term conditions, including increasing proportions of people with multiple long term conditions. Potential market failure in the supply chain. Difficulties in keeping supply and demand in equilibrium. Work force issues. Increased requests to support the NHS around hospital discharges.	Care options for adults do not meet the needs of individuals or result in increased costs to the Council.	Health & Wellbeing Board. City Plan.	4	2	8	4	1	4	Deliver better integrated care through promotion and support for self-care. Implementing a multi agency neighbourhood mangement approach to better co-ordinate health and care services. Reviewing approach to services in peoples homes to remodel what home care, reablement and telecare services need to be for future demand, Continue to expand the use of technology enabled care solutions to support peoples independence. The greater reach and capacity of new connectivity being developed through our joint venture with BAI Communications will enable existing deployment of assistive technologies for vulnerable people to scale significantly. The Sunderland Voluntary Sector Alliance has been launched to build on the outstanding contribution made by the city's voluntary and community sector in supporting communities during the pandemic. It will improve support for the sector and expand their role, working with partner organisations across the city to meet the city's strategic needs. Using local intelligence with our Partners, through the Ageing Well Delivery Board. We have identified key areas of targeted work that will contribute to reducing falls, and the impact of falls, on our residents. New falls strategy for the City is in development. Continue to work with the Association of Directors of Adult Social Services (ADASS) on market sustainability for social care including DHSC Fair Cost of Care exercise. Arrangements in place through ADASS to manage the Social Care Reform Agenda. Put in place new multi agency Front Door service to more effectively triage customers to get the help they need more quickly and in a co-ordinated way.	Director of Adult Services & SCAS Chief Operating Officer					

City Plan Theme	City Plan Priority actions	ID	Strategic Risk Description	Cause	Impact	Current Controls	Impact	Likelihood	Rating	Impact	Likelihood	Rating	Mitigating Actions	COG Lead	Overall Assurance	Management Assurance	Risk and Assurance	Internal Audit	External Assurance
	Cleaner and more attractive City and neighbourhoods.	R14	Council resources and the input of residents are not fully optimised to tackle environmental issues in neighbourhoods.	The level of services delivered by the council does not always meet customer expectations. Recycling bins are often contaminated. Increased fly tipping.	Fail to achieve cleaner and greener streets across the City. Recycling rates are not increased.	City Plan.	4	2	8	4	1	4	Environmental issues are a concern to residents and are therefore included in the Neighbourhood Improvement Plans. CLEAN and GREEN promotion introduced supported by the Sunderland Echo encouraging volunteers to tackle local environmental issues. Implement a Waste Management Strategy to tackle environmental issues. A new Enforcement Delivery model has been introduced with a greater focus on Environmental issues. To encourage communities to take greater responsibility for their environment, we are issuing sanctions where appropriate following enforcement investigations.	Director of Environmental Services					
	A City with great transport and travel links.	R15	The City cannot meet the challenge to develop an active and green transport system in response to Covid19 and other economic pressures.	Enhanced electric infrastructure required. Limited pedestrian and cycling routes. Winter maintenance programme may be impacted by the availability of resources (grit and drivers)	Fail to change the use of cars as the primary source of travel. Restricted connectivity between different areas of the City.	Transport Movement Plan for Sunderland. City Plan.	3	2	6	3	1	3	Review the Transport Movement Plan for Sunderland to reflect new opportunities. Plans have been agreed to revamp Sunderland's Central Train Station, beginning with the demolition of the current south entrance. SSTC3 link road from Northern Spire to City Centre opened November 2021 High Level Footbridge design in development A submission has been made to the Levelling Up Fund LUF for a multi million pound investment in green travel across the city	Executive Director of City Development					
VIBRANT SMART	More residents participating in their communities.	R17	Pathways are not in place to encourage / support more residents to participate in making their neighbourhoods more desirable.	Residents are not fully aware of opportunities to participate in their neighbourhoods.	Neighbourhoods become less attractive. Outward migration continues.	City Plan. Vibrancy Board.	3	2	6	3	2	6	Implement Neighbourhood Plans, which incorporate input from residents. Develop and implement a Volunteers Strategy. Strategy completed and Volunteer Sunderland website launched. Community Support Workers are now in post supporting the Sunderland Voluntary Sector Alliance and external funding has been secured to grow its capacity across the city.	Executive Director of Health, Housing & Communities					
	More people visiting Sunderland and More residents informing and participating in cultural events programmes and activities.	R18	Sunderland may not be recognised as a cultural destination of choice.	The developing cultural offer is not fully understood. Limited number of City centre hotels.	City's cultural offer does not contribute fully to the City being an attractive and vibrant place to invest, work, learn, live and visit. Adverse impact of Covid restrictions.	City Plan. Vibrancy Board.	3	2	6	3	1	3	Develop a wider Vibrancy Partnership to promote new events and increase cultural activity. Vibrancy Board set up. Board to develop a Delivery Plan to promote Sunderland as a cultural destination of choice. Tourism offer and City Brand being reviewed. Sunderland continues to promote its tourist attractions to take advantage in the upsurge in "staycations" A Tourism recovery plan is in place to promote and sustain the return of visitors and participation of residents in cultural events, programmes and activities. A new Cultural Strategy is in development to address the Council's Cultural priorities and the Cities Cultural priorities. A cultural regeneration team has been established to take forward the Council's priorities	Executive Director of City Development					
	More people feeling safe in their homes and neighbourhoods.	R19	Reduced trust in public protection.	Significant local crime events. Vulnerable residents are exploited by organised crime syndicates. Community Engagement has indicated that fear of crime is an issue although crime statistics are low. Young People's survey Nov 19 indicated that Knife crime and Hate crime are issues of concern.	Localised community tensions. Vulnerable individuals have their lives controlled by criminal organisations.	Safer Sunderland Partnership. City Plan.	4	2	8	4	1	4	Support Partners to improve community safety and maintain high levels of feelings of safety for all. Criminal activity to be disrupted through increased Policing and other Agency intervention and enforcement activity. Promote Sunderland more positively as a City that welcomes all, with neighbourhoods that are attractive, safe, inclusive and cohesive. Targeted engagement to be undertaken with communities to establish the cause of concerns and actions that can be taken to reduce the level of concern. Sunderland Domestic Abuse Safe Accommodation Strategy agreed	Executive Director of City Development					
	More people feeling safe in their homes and neighbourhoods.	R20	Council fails to provide support for victims of domestic abuse as required by the Domestic Abuse Act 2021.	New legislation imposing duties on the Council to provide accommodation-based support for victims of domestic abuse	Individuals / Families continue to suffer from the adverse impacts of domestic abuse	Domestic Abuse Act 2021 Health & Wellbeing Board	4	2	8	4	1	4	Domestic Abuse Local Partnership Board, developing a strategy for the provision of accommodation-based support. Cabinet approved the Sunderland Domestic Abuse Safe Accommodation Strategy, which aims to improve the lives of victims, survivors and their children describing how safe accommodation and support for domestic abuse victims-survivors will be provided over the next three years. Currently obtaining views from residents and survivors to develop a wider strategy to protect people from Domestic Abuse (not just the provision of accommodation as required by Statute)	Executive Director of Health, Housing & Communities					
	More resilient people.	R21	Opportunities are not taken to enable families and individuals to support themselves, to mitigate the impact of indebtedness and welfare reforms and progress their ambitions.	Ongoing austerity and welfare reform changes have exposed many more residents to the effects of poverty – including food insecurity. Impacts of Covid 19 and the cost of living crisis have reduced the value of income.	Increase in the number of families falling into debt and requiring welfare support. Increase in the number of children being able to achieve at school.	Sunderland Foodbank. City Plan.	4	4	16	4	1	4	Sunderland City Council, in partnership with the voluntary and community enterprise sector has; Published our Statement of Intent for fuel energy measures to address fuel poverty/energy efficiency in privately owned homes. An Internal Task Group has been established to review how further support can be provided, working with Partners and the Voluntary Sector In response to the cost of living crisis	Executive Director of Health, Housing & Communities					
	Finance.	R22	Aspirations to develop the City Plan may be restricted by financial pressures.	Uncertainty as to the level of Government funding to be provided (1 year settlement only) and timing and impact of the Fair Funding Review. Progressive reduction in Government funding since 2010. Cessation of European Funding with UK Shared Prosperity Fund only confirmed December 2022 up until March 2025. Changes to funding streams, changes in amounts of funding, inflation, pay awards, potential liabilities etc.	Inability / delay in addressing Sunderland's challenges / priorities. Strategic financial plans do not align to Council priorities, objectives and direction as set out in the City Plan.	Medium Term Financial Plan (MTFP). Budget Plan. City Plan.	4	3	12	4	1	4	The 2022/23 budget and MTFP was approved by Council in March 2022. At the same time, the update to the City Plan ensured a joined up strategy and financial view for the council. In year budget monitoring is tracking delivery of the budget in light of significant inflationary pressures (contractual, pay, utilities etc). Budget for 2023/24 currently being developed and to be considered by Council 22nd February 2023. Appropriate consultation and intelligence gathering is undertaken in assessing the Council's short to medium term financial position – the Let's Talk approach is being used with residents, supplemented with the usual engagement with Trades Unions, Schools Forum and business community. Lobbying of Government around funding for local authorities undertaken jointly through ANEC, SIGOMA etc.	Director of Finance					
ENABLING																			

City Plan Theme	City Plan Priority actions	ID	Strategic Risk Description	Cause	Impact	Current Controls	Impact	Likelihood	Rating		Impact	Likelihood	Rating	Mitigating Actions	COG Lead	Overall Assurance	Management Assurance	Risk and Assurance	Internal Audit	External Assurance
	Partnership Working.	R23	Objectives and priorities of Council and other Partner(s) may conflict or are not aligned to deliver the priorities in the City Plan.	Reducing resources may lead to partners concentrating on their own priorities at the expense of City priorities. Lack of understanding by each partner as to the contribution they can play to the delivery of the City Plan. Lack of partnership performance monitoring. Not all Partnership Boards across the City are aligned and may not have a full understanding of the varying priorities and delivery objectives.	Unable to achieve City priorities and support communities.	City Plan.	4	2	8		4	2	8	Partners represented on the City Board to support delivery of the City Plan. Partners to identify projects that support delivery of the City Plan.	Assistant Director of Strategy and Corporate Affairs					

# CORPORATE RISK PROFILE

Risk Likelihood	Risk Impact
1 = Unlikely	1 = Minor
2 = Possible	2 = Moderate
3 = Likely	3 = Significant
4 = Almost Certain	4 = Critical

Current Score  
(February 2023)

Target Score

# Appendix 2

ID	Risk Areas	Risk Description	Cause	Impact	Current Controls	Current Score (February 2023)			Mitigating Actions	Owner	Source of Assurance	Target Score		
						Impact	Likelihood	Rating				Impact	Likelihood	Rating
R01	Strategic Planning	The priorities set out in the City Plan do not address the needs of the City as whole.	Corporate planning process does not adequately reflect the views of the community. Various sections of the community are not engaged. The Council does not understand the impact of external factors on the community.	Fail to contribute to the welfare and future prosperity of our communities.	COG. JLT. City Plan. Strategic Risk Profile. Corporate Service Plan Template	4	1	4	City Plan driven by required outcomes and commissioning activity.	Director of Corporate Affairs	Risk and Assurance Team Internal Audit Governance questionnaire	4	1	4
R02		Strategic plans are not adequately communicated on a timely basis to relevant Council officers and external partners responsible for delivering plans.	Lack of timetable re corporate / service planning Lack of communication of plans	Lack of delivery of plans by those partners/services responsible	COG. JLT. City Plan. Strategic Risk Profile. Corporate Service Plan Template	4	1	4	Communication of the City Plan continues across the Council and Partners. Service planning process to ensure that service plans reflect delivery of the City Plan.	All Assistant Directors/Directors	Risk and Assurance Team Internal Audit	4	1	4
R03	Commissioning	Commissioning decisions are not based on appropriate intelligence	Appropriate intelligence is not gathered, e.g. performance data is incomplete, is out of date, or is not appropriately analysed or assessed to determine the needs of the community Do not engage with the appropriate sectors of the community / market	Ineffective use of limited resources. Customers outcomes are not achieved resulting in more expensive interventions being required.	Community engagement arrangements. Intelligence Service. Performance Management Framework.	4	2	8	Identify intelligence required and potential sources to inform decisions. Develop engagement plans to gather the required information. Analyse the information and use the results to inform the commissioning decisions.	All Assistant Directors/Directors	Governance questionnaire Internal Audit Corporate Performance Management	4	1	4
R04		Most appropriate and cost effective commissioning option to meet identified needs and achieve commissioning priorities and outcomes is not chosen.	Failure to identify and evaluate relevant possible commissioning options of delivering services taking into account the resources available. Failure to build or shape capacity in 'market' and cooperative working e.g. partnerships to enable effective service options not in place to help achieve commissioning priorities and outcomes Inadequate options appraisal process Lack of resource or expertise Lack of Provider/Supplier capacity due to the impact of external factors.	Commissioning priorities and objectives are not achieved so community needs not being met. Ineffective use of limited resources.	City Plan. Service Plans. Strategic Risk Register	4	2	8	Options appraisal undertaken on service design following assessment of customer needs. Appropriate procedure followed to commission the preferred option, e.g. procurement, service re-design.	All Assistant Directors/Directors	Cabinet reports Internal Audit	4	1	4
R05		Commissioning assessment process is not undertaken on a timely or regular basis.	Inadequate resources. Insufficient forward planning for contracted services.	Changes in needs of community are not identified promptly. Inappropriate use of limited resources. Community's real needs are not met. Existing arrangements/contracts extended where it may not be the optimal solution	Service Plans.	4	2	8	Review of performance to ensure service delivery model is delivering outcomes. Commissioning Cycle to include planned review date either linked to outcome or contract timescales.	All Assistant Directors/Directors	Internal Audit	3	1	3
R06	Service Delivery Arrangements	Service Plans do not include actions to achieve the City Plan priorities	Service plans are not driven by the City Plan	Fail to meet the needs of the City	Service Planning Process aligned to City Plan. Performance Management Framework.	4	2	8	Service Planning process is driven by the City Plan. Service Planning Process is communicated to all Assistant Directors.	All Assistant Directors/Directors	Internal Audit Corporate Performance Management	3	2	6
R07		The level of services delivered by the council does not meet customer needs and/or expectations.	Lack of understanding of the priorities Lack of financial resources to invest in changing arrangements Lack of benchmarking to identify service development opportunities Lack of management time to consider delivery improvements Capability issues Lack of capacity due to increased demand as a result of external factors	Required outcomes for customers not achieved. Reputational damage. Wasted resources.	Service Planning Process. Performance management arrangements. Transformation Programme. Strategic Risk Profile	4	3	12	Performance in relation to the delivery of outcomes is regularly monitored.	All Assistant Directors/Directors	Corporate Performance Management Internal Audit Governance questionnaire	4	1	4

Assurance					
Overall Assurance	1st Line	2nd Line		3rd Line	
	Management Assurance	Specialist Functions	Risk and Assurance	Internal Audit	External Assurance
Strategic Planning	X	Performance	X	X	
		Performance	X	X	
Commissioning	X				X
			X		X
			X		
Service Delivery Arrangements		Performance		X	X
	X		X	X	

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R08		Performance targets are not set or do not clearly identify the acceptable levels of service delivery performance.	Lack of understanding of how to measure acceptable performance.	Unable to understand if performance levels are acceptable.	Corporate performance management process.	3	2	6	Targets should be set for all performance measures (where appropriate to do so) to clarify acceptable levels of performance.	All Assistant Directors/Directors	Governance questionnaire Corporate Performance management Internal Audit	3	1	3		X	Performance	X	X	
R09		Management fail to take prompt effective action in response to unacceptable performance results reported or fails to follow up to ensure remedial action is effective.	Lack of time to consider performance. Performance information not accurate, timely or understood. Management not held to account for performance. Lack of resource or control to make necessary changes.	No or delay in action taken to improve service which may have major impact on customers. Poor reputation for Council.	Corporate Performance management. Performance Clinics.	3	2	6	Management review performance on a regular basis and take appropriate action to rectify unacceptable performance.	All Assistant Directors/Directors	Corporate Performance management arrangements Internal Audit Governance questionnaire	3	1	3		X	Performance		X	
R10		Services fail to monitor their financial resources to ensure effective delivery of planned services.	Lack of time spent on budget monitoring. Lack of understanding of the service's financial position. Lack of complete or timely financial information.	Services not effectively delivered due to lack of resources.	Budget managers guidance. Financial Resources support.	4	1	4	Managers continue to engage with Financial Resources to understand the financial performance of their services areas	All Assistant Directors/Directors	Financial Resources Internal Audit Governance questionnaire	4	1	4			Performance			
R11		Services do not meet the needs of the City as key risks are not identified or appropriately managed.	Potential barriers to the delivery of services are not identified or assessed.	Services not effectively delivered. Waste of resources.	Service Planning process.	3	3	9	Services should continue to identify risks to service delivery during the service planning process and consider appropriate mitigating actions.	All Assistant Directors/Directors	Risk and Assurance Internal Audit Governance questionnaire	3	1	3			Performance			
R12	Partnership / Integrated Working	Objectives and priorities of Council and other partner(s) conflict/are not aligned to deliver the priorities of the City.	Reducing resources forces partners to concentrate on their own priorities at the expense of partnership priorities. Lack of communication of plans between partners. Lack of partnership performance monitoring. Increased demand on limited resources due to the impact of external factors	Unable to achieve City priorities and support communities.	City Plan. Partnership Boards. Partnership Framework.	4	2	8	Continue engagement with partners regarding activity being undertaken to contribute to the delivery of the City Plan	All Assistant Directors/Directors	Internal Audit	4	1	4	Partnership / Integrated Working				X	
R13		Lack of understanding by each partner as to objectives, and nature of partnership (e.g. responsibilities, if applicable, sharing of profits, costs or losses, dispute resolution, governance, decision making, planning, risk sharing).	Lack of formal comprehensive written partnership agreement.	Delay in delivery of plans and outcomes for community. Lack of delivery of priorities.	Partnership Framework.	4	2	8	All Assistant Directors should be reminded of the requirements of the partnership Code of Practice. Partnership agreement in place with each partner setting out the expectations of each party and the required reporting arrangements.	All Assistant Directors/Directors	Governance questionnaire Internal Audit	4	1	4		X			X	
R14	Procurement	The product or service procured does not deliver the intended outcomes.	Poor specification. Lack of understanding of what is needed by commissioner. Poor communication between commissioner and procurement. Limited capacity of providers/suppliers due to external factors. Inadequate evaluation process	Fail to obtain value for money. Objectives/outcomes are not achieved. Most appropriate commissioning options are not obtained.	Procurement Procedure Rules.	3	1	3	The Council's procurement procedures continue to be followed and good procurement practice is undertaken	All Assistant Directors/Directors	Internal Audit Risk and Assurance	3	1	3	Procurement			X	X	
R15		Procurement breaches legal and Council requirements.	Lack of procurement procedure rules and training. Lack of knowledge of legal/Council requirements. Failure to adhere to requirements (deliberate, e.g. corruption or accidental).	Legal/financial penalties. Challenge, delays in award of contracts. Loss of reputation.	Procurement Procedure Rules in place. Procurement have skilled staff. Corporate Procurement support council officers.	2	1	2	Communication with COG / Assistant Directors regarding failure to comply with Procurement Procedure Rules. Commissioners engage with Corporate procurement in enough time to undertake an appropriate and legal procurement process.	Assistant Director of Assurance and Property Services  All Assistant Directors/Directors	Internal Audit	2	1	2				X	X	
R16		Value for money not obtained.	Lack of competition. Corruption. Inappropriate specification. Poor procurement planning.	Poor quality of goods/services and customer service. Pay higher prices - waste of scarce resources.	Procurement Procedure Rules in place. Procurement have skilled staff. Corporate Procurement support council officers.	3	2	6	Commissioners engage with Corporate procurement in enough time to undertake an appropriate and legal procurement process.	All Assistant Directors/Directors	Internal Audit	3	1	3					X	
R17	Relationship / Contract Management	Contracts do not deliver the required objectives/outcomes.	Lack of clear contract/specification provisions in place to allow effective management of the contract. Lack of appreciation of importance of contract management during the procurement process. Lack of clarity of clear measures and standards required by commissioner in specification to allow for contract management post award. Lack of contract management activity following contract award	Fail to obtain value for money, i.e. pay too much or poor service obtained. Objectives are not achieved. Excessive resources used on dispute resolution.	Contract management framework. Corporate Procurement support to officers.	4	2	8	Contract management arrangements should be in place for all key contracts entered into by the Council.	All Assistant Directors/Directors	Governance questionnaire Internal Audit	4	1	4	Relationship / Contract Management	X	Performance	X	X	



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R18	Legality	Council fails to act within its statutory powers.	Lack of Constitution, Procedure rules and / or delegation scheme etc. Constitution, procedure rules, delegation scheme are not communicated or understood by officers. Decision makers have lack of access to legal expertise. Lack of awareness of officers as to their legal responsibilities. Changes in law are not recognised and implemented.	Council's actions are found to be ultra vires. Financial penalties. Legal challenge. Loss of reputation. Delay in delivery of outcomes.	Constitution and Procedure Rules.	3	1	3	Ongoing review of key decisions by Law and Governance. Officers continue to be aware of changes in legislation that impact on their services.	Assistant Director of Law and Governance All Assistant Directors/Directors	Law and Governance Governance questionnaire Internal Audit	3	1	3	Legality	X	Law and Governance	X	X	
R19	Risk Management	Failure to identify and manage the major risks and opportunities to delivering priorities and plans.	Risk Management process is not aligned with delivering priorities. Senior Management/Members do not monitor the management of key risks to the Council. Risk appetite of the Council is not identified and communicated.	Priorities are not achieved. Loss of reputation. Potential financial penalties.	Risk Management Policy and Strategy. Integrated Assurance Framework. Strategic Risk Profile	3	2	6	The Council's strategic and corporate risks are identified, assessed and managed through COG and the Audit and Governance Committee. Risk Management Policy and Strategy to be reviewed.	Assistant Director of Assurance and Property Services	Risk and Assurance Team Audit and Governance Committee Governance questionnaire	3	1	3	Risk Management	X		X	X	
R20	Corporate Performance Management	Performance reporting fails to give a full and accurate picture of the progress in achieving strategic priorities and outcomes.	Performance reporting does not address all priority issues. Performance indicators are inappropriate. Performance targets not set to aid evaluation of performance. Performance data reported is inaccurate, out of date, difficult to understand or incomplete. Performance reporting not timely.	Reporting does not identify if achievement of all priorities are on track or if interventions are required. Appropriate remedial actions are delayed.	Performance Management Framework.	3	1	3	Management review performance on a regular basis and take appropriate action to rectify unacceptable performance.	Director of Strategy and Corporate Affairs	Corporate performance management Internal Audit	3	1	3	Performance Reporting		Performance		X	
R21	Financial Management	Strategic financial plans do not align to Council priorities, objectives and direction as set out in the City Plan.	Corporate and financial planning processes are not coordinated to allow plans to be aligned. Financial planning process does not involve consultation with key decision makers in Council both councillors and officers.	Plans made which are not adequately resourced. Failure to achieve plans and outcomes for community Council financial resources overstretched.	MTFS Budget consultation process	4	1	4	The strategic financial plan should be aligned with the priorities in the City Plan.	Director of Finance	Financial Resources	4	1	4	Financial Management					
R22		Strategic financial plans are at risk due to all critical factors likely to affect the Council's finances moving forward, e.g. changes to funding streams, changes in amounts of funding, inflation, pay awards, potential liabilities etc.	Poor intelligence gathering or horizon scanning. Lack of resources. Lack of consultation/communication with senior officers. Lack of clarity of the financial support from Government.	Decisions made with inaccurate information. Plans made which are not adequately resourced. Failure to achieve plans and outcomes for community. Council financial resources overstretched.	Strategic financial planning process. Strategic Risk Register.	4	3	12	Appropriate consultation and intelligence gathering is undertaken in assessing the Council's short to medium term financial position.	Director of Finance	Financial Resources External Audit	3	1	3						
R23		Financial reporting fails to reflect on how financial changes in one area impacts on other areas of the council.	Financial savings in one area may have a more than proportionate increase in other service areas	Savings plans are not achieved in practice.	Financial Reporting Procedures.	3	1	3	The Council's financial position is regularly reported to COG and Members.	Director of Finance	Financial Resources	3	1	3			Financial Resources		X	
R24		The Council does not take all opportunities to pursue external funding when available.	Lack of awareness of funding streams available. Lack of planning regarding priorities to be able to react to available funding.	The Council fails to deliver its priorities in an efficient way. Some priorities may not be delivered.	External Funding Team. Strategic funding group.	3	1	3	Ensure that horizon scanning considers changes in future sources of funding.	Director of Finance	Internal audit	3	1	3			Financial Resources			
R25		The Council does not maximise the use of external funding that has been allocated.	Lack of planning Lack of awareness of the terms and conditions of the funding Delays in project completion	Loss of grant income. Some priorities may not be delivered.	Financial monitoring. Project management standards.	3	2	6	The Council monitors the use of all grant monies to ensure there is no loss.	Director of Finance	Internal Audit	3	1	3			Financial Resources			
R26		Financial reporting fails to give a full and accurate picture of the progress to achieving corporate financial priorities and targets.	Financial reporting does not address all priority issues Financial performance measures are inappropriate Financial targets not set to aid evaluation of performance Financial performance data reported is inaccurate, out of date, difficult to understand or incomplete Financial performance reporting not timely	Financial reporting does not identify if achievement of all priorities are on track or if interventions are required. Appropriate remedial actions are delayed.	Corporate Performance Reporting. Performance Clinics.	3	1	3	Financial performance reporting is aligned to performance reporting to identify any potential inaccuracies or inconsistencies.	Director of Finance	Financial Resources Corporate Performance Management	3	1	3			Financial Resources		X	
R27		The Council fails to pay its employees (and those of other clients) accurately and on time.	Lack of resources to process the changes to the payroll Lack of a clear timetable for the submission of information Lack or payroll staff with the required training	Delay in making salary payments. Claims from employees for costs incurred for late payment of bills. Loss of reputation as a payroll provider.	Policies and procedures in place for operating the payroll system. Employee self service.	3	1	3	Controls in place to ensure that the payroll runs are complete and accurate and operate efficiently.	Director of Smart Cities and Enabling Services	Internal Audit	3	1	3					X	X
R28		The Council fails to make payments to its suppliers and clients accurately and on time.	Lack of resources to process the required payments. Lack of appropriate checks on payments before processing. Lack of controls in place to ensure payments are processed per the required timescales.	Loss of reputation with suppliers. Claims for interest for late payments.	Procedures in place within the Purchase to Pay system	3	1	3	Procedures required for making payments accurately and on time are up to date and fully understood by staff within the payments service	Director of Finance/Director of Smart Cities and Enabling Services	Internal Audit	3	1	3					X	X



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R29		The Council fails to process payments for benefits accurately or on time.	Poor assessment procedures. Lack of timetable for assessing claims. Delay in the processing of claims.	Customers do not receive the correct amount of benefit resulting in financial hardship. Customers receive their payments late causing unnecessary debt.	Assessment procedures and performance indicators in place.	4	1	4	Established procedures are in place and followed by adequately trained staff for the assessment and processing of benefit claims.	Director of Finance	Internal Audit	4	1	4					X	X
R30	Income Collection (including CT/NNDR)	Council fails to bill and or promptly collect the income that is due to its.	Lack of resources. Inadequate procedures for raising accurate bills. Inappropriate methods to allow customers to pay bills. Over generous credit terms. Economic conditions increase the number of bad debtors. Procedures fail to identify non payments. Ineffective enforcement of credit control arrangements.	Financial loss. Unable to balance the budget.	Financial procedure rules. Performance indicators in place.	3	1	3	Regular monitoring that the income received is in line with that expected as per the Council's budget.	Director of Finance	Financial Resources Internal Audit	3	1	3	Income Collection (including CT/NNDR)				X	X
R31		Prosperity within the City fails to grow resulting in the expected level of income being uncollectable.	Number of businesses in the City reduces or does not grow. Increased number of families suffering financial hardship. Debts increase and become harder to recover. External factors have resulted in a worsening financial and domestic situation of many residents.	Financial loss. Negative impact on cashflow. Inability to achieve financial targets.	City Plan. Strategic financial planning.	3	4	12	Clear performance measures and regular monitoring of the debtor position highlight potential loss of income.	Director of Finance	Financial Resources Internal Audit	3	2	6						
R32	Capital Programme Management	Capital projects do not support the delivery of strategic priorities and desired outcomes.	Capital projects are based on available funding and not linked to priorities. Inadequate business cases for projects.	Priorities are not delivered. City does not have the required infrastructure. Poor integration of city developments.	Capital Programme Board	3	1	3	The Capital Programme is directly aligned to the City Plan and strategic priorities.	Director of Finance	Financial Resources Internal Audit	3	1	3	Capital Programme Management		Financial Resources	X	X	
R33		The intended benefits of capital projects are not identified and/or realised.	Lack of awareness of funding conditions. Poor planning. Poor monitoring of projects. Lack of monitoring of the realisation of benefits after the completion of the projects.	Loss of funding. Council resources used to fill funding gaps. Other planned projects postponed. Lack of delivery of the Council priorities.	Capital Programme Board	3	3	9	Corporate approach to planning and monitoring of the delivery of the benefits of each project and the wider Capital Programme.	All Assistant Directors/Directors	Financial Resources Internal Audit	3	2	6				X	X	
R34	People Management	The council does not have the required skills and capacity to deliver the City's priorities.	Shrinking workforce leading to a reduction in capacity and skills. Rapid loss of key/senior officers and associated expertise. Lack of effective workforce planning to ensure Council has workforce to meet the needs of Council going forward. Insufficient resources to maintain effective HR management resource and arrangements. Insufficient training and development. Staff absence due to sickness.	Lack of or delay or increased costs in delivering priorities.	Corporate Performance Management.	3	3	9	Workforce planning strategy in place that is appropriately monitored to ensure its is effectively implemented. People Management Improvement Programme in place	Director of Smart Cities and Enabling Services	People Management Internal Audit Governance questionnaire	3	2	6	HR Management	X	Health and Safety	X	X	
R35		Reduction in productivity and morale of workforce.	Increasing workloads. Instability due to ongoing changes. Job insecurity. Increased demand / pressures due to external factors.	High absence/sickness rates. Stress related absence. Lower standards of service delivery. Increased costs. Increased homeworking has had a positive impact of staff morale.	Corporate Performance management. Performance Clinics.	4	2	8	Recognition of reduced capacity. Employees feeling valued and supported.	All Assistant Directors/Directors	People Management Internal Audit	4	2	8			People Management			
R36	Health and Safety	Council officers do not fully understand H&S roles and responsibilities.	Roles and responsibilities not clearly documented and/or communicated effectively. Loss of knowledge from organisational change and staff churn. Ineffective training and awareness programme. Lack of easy access to relevant documents on the Hub.	Lack of ownership and accountability for H&S. Inconsistent approach to the management of H&S issues across directorates, divisions and teams. Reduced compliance with quality standards and best practice. Inability to adequately prevent incidents occurring. Inadequate documentation and controls leading to injury and death.	Corporate Health and Safety Team and Audit programme. Corporate Health and Safety Statement of Intent.	4	2	8	H&S Strategy/Policy to be reviewed and revised. Revised Strategy/Policy to be agreed by COG.	Assistant Director of Assurance and Property Services	Internal Audit Governance questionnaire	4	1	4	Health and Safety		Health and Safety	X	X	
R37		The council's key H&S risks are not identified, understood or agreed.	Lack of effective coordinated corporate approach to the identification of H&S risks. Lack of awareness or prioritisation of H&S across Chief officers, managers and operational colleagues. Lack of clear responsibilities of premises managers, landlords and leaseholders.	Key H&S risks not effectively managed leading to injury or death of the public, staff, suppliers or partners. H&S legal duties not fulfilled and/or demonstrated. Reduced oversight and accountability at strategic and operational levels across the council leading to uninformed decision making. None compliance with quality standards. Litigation and adverse PR.	Corporate Health and Safety Team. Risk assessment process. Dashboards provided to Assistant Directors and escalation process in place. Training packages on Ilearn system.	4	2	8	Continue to monitor Health and Safety Risks through the assurance framework and work with relevant colleagues to manage the risks in place.	Assistant Director of Assurance and Property Services	Internal Audit Governance questionnaire	4	1	4			Health and Safety		X	

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R38		Appropriate action plans are not developed and agreed to manage the council's key H&S risks.	Lack of joined up corporate approach to the management of H&S risks. Lack of effective process to develop clear and robust action plans to establish relevant controls and officer ownership.	Effective controls not established and/or operated appropriately. Inconsistent and disjointed approach across the council to the management of shared risks leading to confusion and mismanagement of control systems.	Corporate Health and Safety Team. Health and Safety Audits. Implementation of actions recorded in IAuditor system. Risk assessments developed for tasks and council buildings.	4	2	8	Continue to oversee the management of Health and Safety risks through the Executive Group and annual reporting to COG.	Assistant Director of Assurance and Property Services	Internal Audit Governance questionnaire	4	1	4			Health and Safety		X	
R39		Strategic approach to incident management does not adequately inform decision making.	Lack of understanding of responsibilities and accountability for incident response. Non-compliance with incident reporting arrangements. Immitted trend analysis and learning lessons from incidents. Availability of quality data/information to inform effective reporting to COG.	Ineffective decision making. Implementation of inappropriate controls. Existing controls not reviewed and revised in response to learning from incidents becoming out-of-date and ineffective. Avoidable repetition of incidents.	Corporate Health and Safety Team. Annual Health and Safety Report. Regular Executive Health and Safety meetings where detailed information is presented and discussed. Specific Training provided regarding how to manage a Health and Safety Incident.	3	2	6	Continue to monitor compliance with incident reporting arrangements and address any areas for development.	Assistant Director of Assurance and Property Services	Internal Audit Governance questionnaire	3	1	3			Health and Safety		X	
R40	ICT Infrastructure	The ICT infrastructure is not fit for purpose (i.e. does not meet the needs of Council, not reliable, too expensive).	Reducing resources impacts upon the ability to maintain a stable infrastructure. Lack of funds to maintain/upgrade infrastructure. Increased reliance/demand on ICT due to move to hybrid working.	Disruption to service provision impacting on delivery of priorities. Waste of financial resources due to excessive cost. Less efficient and effective service delivery. Loss of productivity.	ICT development plan. Wide roll out of laptops, Windows 10 and Microsoft Teams to aid hybrid working.	4	1	4	The ICT strategy is clearly aligned to the priorities of the Council and the direction of travel for the provision of Council Services.	Director Smart Cities and Enabling Services	ICT Internal Audit	4	1	4	ICT Infrastructure	X	ICT	X	X	
R41		ICT infrastructure is not resilient to 'disasters'.	Lack of planning for disasters (prevent or respond to). No adequate business continuity/disaster recovery ICT infrastructure in place. Lack of business continuity/disaster recovery plan which has been tested. Key employees not briefed as to their disaster recovery responsibilities. Lack of 24/7 ICT support in the event of an incident.	Disruption to service provision impacting on delivery of priorities. Loss of productivity. Waste of financial resources due to excessive cost. Less efficient and effective service delivery. Loss of productivity.	Business continuity arrangements (ICT and in services).	4	2	8	Disaster recovery plans clearly linked to the provision of critical services, regularly tested and the recovery timescales reflected in the business continuity plans for critical services.	Director Smart Cities and Enabling Services  All Assistant Directors/Directors	ICT Internal Audit Business continuity officer	4	1	4						
R42	Cyber Security	The Council is exposed to vulnerabilities and threats, both internal and external, (e.g. hacking, phishing, denial of service attack) resulting in a loss of systems and/or confidential information.	Lack of appreciation by management of threat/risks of cybercrime to Council's operations. Low priority given to cybersecurity. Lack of cybercrime prevention culture created (lack of cybersecurity policies and procedures (prevention and response), lack of ongoing employee training/awareness). Lack of monitoring of alerts/warnings, e.g. no Security and Incident and Event Management (SIEM) solution in place. Lack of investment in existing infrastructure increases level of vulnerability penetration testing vulnerability test results not actioned in suitable time scales. Lack of resources. Lack of understanding of what valuable data the Council holds. Increased cyber activity during Covid 19 outbreak.	Loss of public trust, customer confidence, finance and reputational damage. Fines / compensation. Loss of systems or data loss. Major business disruption.	Strategic Information Governance Group. Operational Information Governance Group. ISO 27001. Cyber security arrangements	4	2	8	A Cyber security Strategy is in place, including and threat assessment, development plan and response plan.	Director Smart Cities and Enabling Services	ICT Internal Audit	4	2	8	Cyber Security		ICT	X	X	
R43	Information Governance / Security	Council's data is not accurately protected.	Lack of awareness of the importance of protecting the Council's data. Lack of compliance with data security arrangements. The Council is not aware of the data its holds or ensures that its is complete and accurate. Protection arrangements do not prevent unauthorised access and use of data. Increased remote working brings increased risk to data held in homes.	Loss of public trust and reputational damage. Fines / compensation. Claims from those who have been adversely effected.	Strategic Information Governance Group. Operational Information Governance Group. ISO 27001. Cyber security arrangements	3	2	6	Council has appropriate information governance and security arrangements in place which are complied with throughout the organisation.	Assistant Director of Assurance and Property Services  All Assistant Directors/Directors	Data Protection Office Governance questionnaire Internal Audit	3	1	3						

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R44	Business Continuity Management	The Council's business critical services cannot function in the event of an incident.	Business Continuity Plans not up to date, reviewed or revised to reflect organisational, procedural and staff changes. Business continuity plans are not tested appropriately.  A number of incidents impact at the same time e.g. Covid 19, Brexit, winter flu, adverse winter weather	Services are unable to respond in adverse conditions.	Corporate Business Continuity Group. Business Continuity plans. Response to the first wave of Covid 19 was successful with no failures to deliver critical services.	3	2	6	Business continuity plans are reviewed and tested on a regular basis and take into account the cumulative effects of concurring incidents.	Assistant Director of Assurance and Property Services  All Assistant Directors/Directors	Business Continuity Officer Internal Audit Governance questionnaire	4	1	4	Business Continuity Management	X	Business Continuity	X		
R45		Lack of awareness of content of business continuity plans.	Lack of effective communication strategy. Lack of testing.	Services are unable or slow to respond appropriately to disasters when occur affecting services to community, safety of individuals. Loss of reputation.	Corporate Business Continuity Group. Business Continuity plans. Successful response to Covid 19 outbreak.	4	1	4	Relevant staff are made aware of the content of the business continuity plans and understand their role in implementing them.	All Assistant Directors/Directors	Business Continuity Officer Internal Audit Governance questionnaire	4	1	4		X	Business Continuity	X		
R46	Programme / Project Management	Programmes and projects fail to deliver the desired benefits and outcomes.	Lack of agreed Project Management Standards. Lack of Project Plans and Governance. Lack of monitoring of achievement.	Fail to obtain value for money. Programme and Project objectives are not achieved.	Corporate Project /Programme management arrangements.	3	3	9	The expected benefits of programmes and projects are clearly set out at the start and their achievement monitored throughout.	All Project Sponsors	Project Office Risk and Assurance Internal Audit Governance questionnaire	3	1	3	Programme / Project Management	X	Programmes and Projects	X	X	X
R47	Asset Management	Opportunities are not taken to maximise the use of assets (land and property). Assets are not fully utilised.	Council does not "sweat" its assets to obtain the maximum returns. Fail to maintain property. Changes in size and direction of Council and services its provides. Lack of asset management planning. Changes in how services delivered. Changes in technology. Assets become uneconomic to run. Lack of investment in asset management planning. Council unaware of assets its owns.	Fail to increase council income. Fail to decrease costs.	Asset Management Plan.	3	3	9	The use of Council assets are monitored on an ongoing basis, particularly in response to changing staffing levels and changing service delivery models.	Assistant Director of Assurance and Property Services	Internal Audit	3	2	6	Asset Management			X	X	
R48		The Council does not fulfil its statutory duties in relation to its property portfolio.	Lack of resources. Lack of planning. Lack of monitoring or conditions of assets. Lack of knowledge of changes to the property portfolio.	Members of the public or staff are at risk of being harmed. Legal action taken against the Council. Reputational Damage.	Asset Management Plan.	4	2	8	The Council's Asset Management Plan is updated maintained accurately on an ongoing basis. Condition of assets are monitored on an appropriate basis and maintenance scheduled as required.	Assistant Director of Assurance and Property Services	Health and Safety Internal Audit	4	1	4						
R49	Anti Fraud and Corruption	Council fails to prevent, detect and investigate acts of fraud and corruption.	Relaxation of controls due to a reduction of resources. Lack of anti fraud culture. Lack of anti fraud and corruption procedures embedded into processes.	Financial loss potentially resulting in a reduced service offering to the customer.	Anti fraud and corruption policy and procedures.	2	2	4	Managers are aware of the fraud risks within their area and maintained appropriate controls bearing in mind changes to service delivery and staffing levels.	All Assistant Directors/Directors	Governance questionnaire Internal Audit	2	2	4	Anti Fraud and Corruption	X			X	

# Item 5

## SCRUTINY COORDINATING COMMITTEE

9 MARCH 2023

### WORK PROGRAMME 2022/23

#### REPORT OF THE SCRUTINY, MAYORAL AND MEMBERS' SUPPORT COORDINATOR

##### 1. Purpose of the Report

- 1.1 The report attaches, for Members' information, the thematic Scrutiny Committee work programmes for 2022/23 and provides an opportunity to review the Committee's own work programme for 2022/23.

##### 2. Background

- 2.1 The role of the Scrutiny Coordinating Committee is two-fold, firstly it has a role in co-ordinating efficient business across the Scrutiny Committees and manage the overall Scrutiny Work Programme and secondly to consider the Council's corporate policies, performance and financial issues.
- 2.2 In order to ensure that the Committee is able to undertake all of its business and respond to emerging issues, there will be scope for additional meetings or visits not detailed in the work programme.
- 2.3 The work programme should reflect the remit of the Committee and the need to balance its responsibility for undertaking scrutiny, performance management and policy review (where necessary).

##### 3. Thematic Scrutiny Committee Work Programmes

- 3.1 **Appendix 1** sets out the Scrutiny Committee work programmes for the Children, Education and Skills, Economic Prosperity and Health and Wellbeing Scrutiny Committees respectively.

##### 4. Scrutiny Coordinating Committee's Work Programme

- 4.1 **Appendix 2** outlines this Committee's full work programme for the year, updated to reflect new additions and amendments requested by Committee as the year has progressed.
- 4.2 Topics for inclusion in the Scrutiny Work Programme will vary from single issue items for consideration such as policy and performance reports through to regular updates on issues that the committee have adopted a more focused monitoring role.
- 4.3 It should be noted that the work programme is a 'living' document and can be amended throughout the course of the municipal year. Any Elected Member can add an item of business to an agenda for consideration (Protocol 1 within the Overview and Scrutiny Handbook outlines this process).

## 5. Report It Session - Update

- 5.1 The Report It tool session took place on Monday 13 February at 5pm in Committee Room 1, with attendance from Cllrs Dianne Snowdon, Allen Curtis, Paul Edgeworth, Michael Hartnack and Susan Watson and supported by the Director of Smart Cities and Enabling Services, Senior Development and intelligence Lead and Digital Lead Manager. The session was extremely productive with a good level of discussion and a number of queries and suggestions made by Members in relation to the Report It app and the Members portal.
- 5.2 The presentation and update responses have been circulated to Members of the Committee for information.

## 6. Dedicated Scrutiny Budget

- 6.1 A small budgetary provision of £15,000 per annum is available to the Scrutiny Committees to deliver the agreed Scrutiny Committee Work Programmes.
- 6.2 As of 24 February 2023 the breakdown of the budget stood as follows:-

Description	£
Scrutiny Development	Nil
Member Development	Nil
Policy Review Development	Nil
<b>Total Expenditure to Date</b>	£0.00
<b>Budget</b>	£15,000.00
<b>Remaining Budget</b>	£15,000.00

## 7. Recommendations

- 7.1 It is recommended that the Scrutiny Coordinating Committee:
- (a) notes the variations to the Scrutiny Committee Work Programmes for 2022/23 and to its own work programme; and
  - (b) notes the current scrutiny budget position for 2022/23.

## 8. Background Papers

- 8.1 Scrutiny Agendas and Minutes

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Contact Officer: Nigel Cummings

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[Nigel.cummings@sunderland.gov.uk](mailto:Nigel.cummings@sunderland.gov.uk)



REASON FOR INCLUSION	9 JUNE 22 (INFORMAL MEETING)	7 JULY 22	8 SEPT 22	6 OCT 22	3 NOV 22	1 DEC 22	5 JAN 23	2 FEB 23	2 MARCH 23	30 MARCH 23
Policy Framework/ Cabinet Referrals and Responses										Scrutiny Annual Report – 22/23
Scrutiny Business	Work Programme 2022/23	Consultation with LGBTQ+ Community	Corporate Parenting (Majella McCarthy)  SEND – Areas for Deep Dive (Pamela Robertson)  Performance Report (Jill Colbert)	SEND – Areas for Deep Dive (Pamela Robertson)  Consultation with LGBTQ+ Community - Feedback on Visit (Members)	Asylum Seekers - support available for children and their families (Tracy Jeffs/Sharon Willis/Karen Dunn)  Mental Health Charter Mark Award (Catherine Barnett)	Speech Disorder and Language Disorder in Early Years (Kimm Lawson)  Holiday and Activities Food Programme (Jane Wheeler)  Ofsted Fostering Inspection – Feedback (Tracy Jelfs)	Early Help Annual Report for 2021/22 and update on Family Hubs.(Karen Davison)  YOS Review – Outcome (Karen Davison/Linda Mason)	Child Obesity – (Lorraine Hughes)  Prevention Bus – Feedback (Jane Wheeler) - Deferred	Schools Update (Simon Marshall)	Training and Apprenticeship Access for Care Experienced Young People (Sharon Willis)  The measures to reduce the number of young people not in education or training (Simon Marshall/Jane Wheeler)
Performance / Service Improvement		TfC Self – Evaluation (Jill Colbert)  Children Services Customer Feedback (Stacey Hodgkinson)		TfC Meaningful Measures Performance Report – Areas Deep Dive (Jill Colbert/Stacey Hodgkinson)		Children Services Customer Feedback (Stacey Hodgkinson)			TfC Meaningful Measures Performance Report (Stacey Hodgkinson)	
Consultation / Awareness Raising		Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	Notice of Key Decisions  Work Programme	

**Items to Programme:**

- Safeguarding of Children (To consider the implications the National Safeguarding Review Panel Review of Child Protection (Jill Colbert)
- School Place Sufficiency (Alan Rowan)
- Visit to Sunnigdale School (tbc)
- Cared for Children – Deep Dive (Social Care)



ECONOMIC PROSPERITY SCRUTINY COMMITTEE – WORK PROGRAMME 2022-23

REASON FOR INCLUSION	14 JUNE 22 – (INFORMAL MEETING)	12 JULY 22	27 SEPTEMBER 22 (REARRANGED)	11 OCTOBER 22	8 NOVEMBER 22	6 DECEMBER 22	10 JANUARY 23	7 FEBRUARY 23	7 MARCH 23	4 APRIL 23
<b>Policy Framework/ Cabinet Referrals and Responses</b>			Statement of Private Hire and Hackney Carriage Licence Policy (Steve Waring)							Scrutiny Annual Report
<b>Scrutiny Business</b>	Remit and Work Programme of Committee	Refugee Provision (Graham Scanlan)  Port Visit – Arrangements (Matthew Hunt)	Housing Strategy/ Rough Sleeping Prevention Strategy – Consultation (Graham Scanlan)  Visit to the Port of Sunderland – Cancelled and Rescheduled to December)	Annual Low Carbon Progress Report (Catherine Auld)	Sunderland BID (Sharon Appleby)  Events (Stephen Savage)	Business Centres (Catherine Auld)  Environmental Services Update (Marc Morley)  Visit to the Port of Sunderland (Matthew Hunt)	Culture Sector and the Local Economy (Rebecca Ball)  Housing Strategy (Graham Scanlan)	Housing Provider Consultation (Gentoo) (Other Housing Providers)	UK Shared Prosperity Fund (Catherine Auld/James Garland)  Screen Industries – Update (Catherine Auld)	Siglion (Anthony Crabb)  Future High Street Fund Programme (Neil Guthrie/Anthony Crabb)  Annual Road Safety Report (Paul Muir)  Public Transport Update (Mark Wilson)
<b>Consultation Information and Awareness Raising</b>		Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22	Notice of Key Decisions  Work Programme 21-22

City Heat Network Projects – Update (Peter Graham) - TBC  
E Scooter – Update (June 23)  
Cycling Infrastructure – Update (Mark Wilson)



# HEALTH AND WELLBEING SCRUTINY COMMITTEE – WORK PROGRAMME 2022-23

REASON FOR INCLUSION		5 JULY 22 D/L:24 JUNE 22	27 SEPTEMBER 22 D/L:2 SEPT 22	4 OCTOBER 22 D/L: 23 SEPT 22	1 NOVEMBER 22 D/L: 21 OCT 22	29 NOVEMBER 22 D/L: 19 NOV 22	3 JANUARY 23 D/L: 23 DEC 23	31 JANUARY 23 D/L: 20 JAN 23	28 FEBRUARY 23 D/L: 17 FEB 23	28 MARCH 23 D/L: 17 MAR 23
Policy Framework / Cabinet Referrals and Responses										
Scrutiny Business		Public Health – Annual Report (Gerry Taylor)  Dental Services Update (NHS Improvement)	Task and Finish Working (Nigel Cummings)	Winter Planning (ATB/ICB)  SSAB Annual Report (Sunderland Safeguarding Adults Board)  Social Care Health Check (Graham King/Ann Dingwall)	Elective Surgery – Update (NHS FT)  Integrated Care System Update (Scott Watson – ICB)	Health Protection Arrangements incl. Flu Immunisation Update (Public Health)  Maternity Services Assurance Update (NHS FT)	ICB Sunderland Update (Scott Watson)	Housing Conditions and Standards & the impact on Health and Wellbeing (Graham Scanlon)  Alcohol Strategy (Gerry Taylor)	MH Strategy Update (Sunderland ICB)  North East Ambulance Service Update (Mark Cotton)	GP Access Review Update (Sunderland ICB)  Annual Report (Nigel Cummings)
Performance / Service Improvement										
Consultation/ Information & Awareness Raising		Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23	Notice of Key Decisions  Work Programme 22-23

Work Programme Items to be scheduled:

REASON FOR INCLUSION		14 JULY 22 D/L 4 JULY 22	26 SEPTEMBER 22 D/L 5 SEPT 22	13 OCTOBER 22 D/L 3 OCTOBER 22	10 NOVEMBER 22 D/L 31 OCT 22	8 DECEMBER 22 D/L 28 NOV 22	12 JANUARY 23 D/L 3 JAN 23	9 FEBRUARY 23 D/L 30 JAN 23	9 MARCH 23 D/L 27 FEB 23	6 APRIL 23 D/L 27 MARCH 23
<b>Policy Framework / Cabinet Referrals and Responses</b>		First Revenue Budget Review 21/22 (Jon Ritchie/Paul Wilson)  Capital Programme First Review 22/23 (Jon Ritchie/Paul Wilson)  First Revenue Budget Review 22/23 (Jon Ritchie/Paul Wilson)		Budget Planning Framework 2022/23 and Financial Strategy (Paul Wilson)  Capital Programme Second Review 2021/22 (Paul Wilson)		Capital Programme Planning 2023/2024 to 2026/2027 (Paul Wilson)  Budget Planning Framework and Medium Term Financial Plan 2023/2024 to 2026/2027 (Paul Wilson)	City Plan Refresh (Beverly Poulter/Jon Beaney)	Collection Fund (Council Tax) 2022/23 (Paul Wilson)  Capital Programme 2023/2024 to 2026/2027 and Treasury Management Policy and Strategy 2023/2024, including Prudential Indicators for 2023/2024 to 2026/2027 (Paul Wilson)  Revenue Budget and Proposed Council Tax for 2023/2024 and Medium Term Financial Plan 2023/2024 to 2026/2027 (Paul Wilson)		
<b>Scrutiny Business</b>		Review of Scrutiny (Gillian Robinson)		Residents Survey Summary (Lucy Nicholson)  Task and Finish Working Group (Nigel Cummings)  Review of Scrutiny – Action Plan (Gillian Robinson/Nigel Cummings)	Safer Sunderland Partnership Annual Report (Stephen Laverton)		Smart Cities Update (Liz St Louis)		Council's Risk Register (Paul Davies)	Annual Report (N Cummings)
<b>Performance / Service Improvement</b>		Performance Management Q4 (Beverly Poulter)	Performance Management Q1 (Beverly Poulter)			Performance Management Q2 (Beverly Poulter)				Performance Management Q3 (Beverly Poulter)
<b>Consultation / Information &amp; Awareness Raising</b>		Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22	Notice of Key Decisions  Scrutiny Work Programmes 2021/22

**NOTICE OF KEY DECISIONS****REPORT OF THE SCRUTINY, MAYORAL AND MEMBERS' SUPPORT COORDINATOR****1. PURPOSE OF THE REPORT**

- 1.1 To provide Members with an opportunity to consider the items on the Executive's Notice of Key Decisions for the 28-day period from 15 February 2023.

**2. BACKGROUND INFORMATION**

- 2.1 Holding the Executive to account is one of the main functions of Scrutiny. One of the ways that this can be achieved is by considering the forthcoming decisions of the Executive (as outlined in the Notice of Key Decisions) and deciding whether Scrutiny can add value in advance of the decision being made. This does not negate Non-Executive Members ability to call-in a decision after it has been made.
- 2.2 To this end, the most recent version of the Executive's Notice of Key Decisions is included on the agenda of this Committee. The Notice of Key Decisions and supplementals for the 28-day period from 15 February 2023 is attached marked in attached appendices.

**3. CURRENT POSITION**

- 3.1 In considering the Notice of Key Decisions, Members are asked to consider only those issues where the Scrutiny Committee could make a contribution which would add value prior to the decision being taken.
- 3.2 In the event of Members having any queries that cannot be dealt with directly in the meeting, a response will be sought from the relevant Directorate.

**4. RECOMMENDATION**

- 4.1 To consider the Executive's Notice of Key Decisions for the 28-day period from 15 February 2023 at the Scrutiny Committee meeting.

**5. BACKGROUND PAPERS**

- Cabinet Agenda

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The Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012

Notice is given of the following proposed Key Decisions (whether proposed to be taken in public or in private) and of Executive Decisions including key decisions) intended to be considered in a private meeting:-

Item no.	Matter in respect of which a decision is to be made	Decision-maker (if individual, name and title, if body, its name and see below for list of members)	Key Decision Y/N	Anticipated date of decision/ period in which the decision is to be taken	Private meeting Y/N	Reasons for the meeting to be held in private	Documents submitted to the decision-maker in relation to the matter*	Address to obtain further information
220621/720	To approve funding options in respect of development at Nile and Villiers Street Sunnyside.	Cabinet	Y	23 February 2023 (published on the Notice dated 25 January 2023).	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230124/755	Proposals for the acquisition, preparation and disposal of development land adjacent to the Northern Spire Bridge.	Cabinet	Y	23 February 2023 (published on the Notice dated 25 January 2023).	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230125/757	To seek approval for appointment of a preferred developer in relation to a proposed residential development at Murton Lane, Easington Lane	Cabinet	Y	23 February 2023 (published on the Notice dated 25 January 2023).	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
210709/612	To authorise the Executive Director of City Development to deliver the Washington F-Pit Museum Heritage Visitor Centre and Albany Park Improvement project, including the procurement of consultants and contractors.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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220207/690	To approve the sale of the former Alex Smiles site and to undertake required remedial works.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
220207/691	To approve the acquisition of strategic sites in the Commercial Road Area.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>



Item no.	Matter in respect of which a decision is to be made	Decision-maker (if individual, name and title, if body, its name and see below for list of members)	Key Decision Y/N	Anticipated date of decision/ period in which the decision is to be taken	Private meeting Y/N	Reasons for the meeting to be held in private	Documents submitted to the decision-maker in relation to the matter*	Address to obtain further information
220524/714	To agree to the grant of an option to sell property at Richmond Street, Sheepfolds, Sunderland.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet Report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
220712/722	To seek agreement to the proposed development strategy of the Council's Self and Custom Build Sites.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet Report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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220719/723	To seek approval for the acquisition of Property at Crowtree Road and to grant a lease of the former Crowtree Leisure Centre	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet Report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
220725/725	To approve the Electric Vehicle Infrastructure Delivery Plan	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221121/734	To provide an update and to seek approval for the change in delivery approach in respect of the Sunderland Heat Network.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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220822/736	To update Cabinet on progress of the New Wear Footbridge ("the Scheme") and seek approval to award the main works contract for the Scheme.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221018/747	To consider a disposal of land at Silksworth Road, Sunderland.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet Report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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221101/750	To consider the outcome of the review of the governance and contract arrangements for Together for Children Sunderland Limited and approve the recommended next steps.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221110/753	To seek approval for the Disposal of an Industrial Property in Washington.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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221123/754	To seek approval to procure a contractor and negotiate and enter into a build contract for the delivery of 13 one bed apartments at James William Street.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221130/756	To seek approval to procure a contractor and, negotiate and enter into a build contract in the delivery of 55 nos. bungalows and apartments for over 55s at land at St Luke Road.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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221216/767	To seek approval to acquire St. Cuthbert's Methodist Church and associated land to enable the build of 6 specialist supported bungalows and approval to procure a contractor and enter into a build contract for the delivery of the bungalows.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221216/768	To seek approval to acquire the former Halfway House Public House and, negotiate and enter into a build contract with Bright Ideas in the delivery of 8nos. 1-bed apartments for supported accommodation.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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221220/769	To approve the 2023 Siglion Business Plan	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221220/770	To approve the carrying out of a procurement exercise in order to establish a framework for ground investigation works and geotechnical services.  To delegate authority to the Executive Director of City Services in consultation with the Portfolio Holder to conclude the contractual arrangements.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230117/772	Changing Places Toilets Programme – Approval of Grant Agreement to Everyone Active to enable funding and installation of CPTs in key leisure venues across the city.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230123/773	To approve The Private Sector Housing Enforcement Policy – Updates and Amendments	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230123/774	To update Cabinet on progress on the Riverside Infrastructure Project (“the Scheme”) and seek approval to commit funds to a programme of projects under this Scheme.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>



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230125/775	To receive a progress update in relation to the Housing Innovation and Construction Skills Academy and approve the proposed next steps	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230127/776	To approve a Homelessness Reduction and Rough Sleeping Strategy 2023 – 2028.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230130/777	To approve the Housing Delivery Investment Plan future strategic approach.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230130/778	To approve the Financial and Lease Agreement details for the New Sunderland Eye Infirmary on Riverside.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230201/779	To Seek approval for the acquisition of a Property on John Street, Sunniside, Sunderland.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230207/780	To consider an update and proposed next steps in relation to the Regional Adoption Agency.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230207/781	To approve the Highway Maintenance (Including Bridges) and Integrated Transport Capital Programme 2023-2024.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230208/782	To apply uplift to Public Health contracts where staff are employed on NHS Agenda for Change terms and conditions, in line with Public Health Grant conditions for 2022/23.	Cabinet	Y	16 March 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230213/783	To make a decision relating to an exchange of land to facilitate to rebuild of St Patrick's RC Primary School (Ryhope)	Cabinet	Y	16 March 2023	N	Not applicable	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
230213/784	To approve the disposal of land at Farrington Row, Sunderland for a proposed residential scheme.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230215/786	To seek approval for the realignment of property interests at Keel Square.	Cabinet	Y	16 March 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>
221006/744	To approve in principle the establishment of a new police led Road Safety Partnership (Northumbria Road Safety Partnership) embracing the Northumbria Force Area.	Cabinet	Y	16 March to 30 June 2023	N	Not applicable.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

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230215/785	To approve the payment of financial assistance to a company locating in Sunderland in relation to the company's own investment plans.	Cabinet	Y	16 March to 30 June 2023	Y	The report is one which relates to an item during the consideration of which by Cabinet the public are likely to be excluded under Paragraphs 3 of Schedule 12A of the Local Government Act 1972, as amended, as the report will contain information relating to the financial or business affairs of any particular person (including the authority holding that information). The public interest in maintaining this exemption outweighs the public interest in disclosing the information.	Cabinet report	Governance Services City Hall Plater Way Sunderland SR1 3AA  <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

**Note;** Some of the documents listed may not be available if they are subject to an exemption, prohibition or restriction on disclosure.

Further documents relevant to the matters to be decided can be submitted to the decision-maker. If you wish to request details of those documents (if any) as they become available, or to submit representations about a proposal to hold a meeting in private, you should contact Governance Services at the address below.

Subject to any prohibition or restriction on their disclosure, copies of documents submitted to the decision-maker can also be obtained from the Governance Services team City Hall, Plater Way, Sunderland, or by email to [committees@sunderland.gov.uk](mailto:committees@sunderland.gov.uk)

**\*Other documents relevant to the matter may be submitted to the decision maker and requests for details of these documents should be submitted to Governance Services at the address given above.**

**Who will decide;**

Councillor Graeme Miller – Leader; Councillor Claire Rowntree – Deputy Leader & Clean Green City; Councillor Paul Stewart - Cabinet Secretary; Councillor Louise Farthing – Children, Learning and Skills; Councillor Kelly Chequer – Healthy City; Councillor Linda Williams – Vibrant City; Councillor Kevin Johnston – Dynamic City.

This is the membership of Cabinet as at the date of this notice. Any changes will be specified on a supplementary notice.

Elaine Waugh,  
Assistant Director of Law and Governance

15 February 2023

The Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012

Notice is given of the following proposed Key Decisions (whether proposed to be taken in public or in private) and of Executive Decisions including key decisions) intended to be considered in a private meeting:-

Item no.	Matter in respect of which a decision is to be made	Decision-maker (if individual, name and title, if body, its name and see below for list of members)	Key Decision Y/N	Anticipated date of decision/ period in which the decision is to be taken	Private meeting Y/N	Reasons for the meeting to be held in private	Documents submitted to the decision-maker in relation to the matter	Address to obtain further information
230208/784	To extend the Council's Water Retail Services Contract with Anglian Water Business (National) Limited for 24 months, in accordance with the terms of the original contract	Director of Finance	Y	16 March 2023	N	Not applicable.	Report	Governance Services City Hall Plater Way Sunderland SR1 3AA <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

**Note;** Some of the documents listed may not be available if they are subject to an exemption, prohibition or restriction on disclosure.

Further documents relevant to the matters to be decided can be submitted to the decision-maker. If you wish to request details of those documents (if any) as they become available, or to submit representations about a proposal to hold a meeting in private, you should contact Governance Services at the address below.

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**\*Other documents relevant to the matter may be submitted to the decision maker and requests for details of these documents should be submitted to Governance Services at the address given above.**

**Who will decide;**

Councillor Graeme Miller – Leader; Councillor Claire Rowntree – Deputy Leader & Clean Green City; Councillor Paul Stewart - Cabinet Secretary; Councillor Louise Farthing – Children, Learning and Skills; Councillor Kelly Chequer – Healthy City; Councillor Linda Williams – Vibrant City; Councillor Kevin Johnston – Dynamic City.

This is the membership of Cabinet as at the date of this notice. Any changes will be specified on a supplementary notice.



# **PROPOSED EXTENSION OF CONTRACT FOR WATER RETAIL (SUPPLY) SERVICES**

**February 2023**

## **REPORT OF THE DIRECTOR OF FINANCE AND THE ASSISTANT DIRECTOR OF ASSURANCE AND PROPERTY SERVICES**

### **1. Purpose of the Report**

To seek approval to extend the Council's current Water Retail Services contract with Anglian Water Business (National) Limited, for a further 2-year period.

### **2. Background and Proposal**

- 2.1 The Council's current utilities contract for Water Retail Services was awarded for an initial period of 2 years on 1 April 2021, following a call off from the NEPO Water Retail Services framework.
- 2.2 NEPO have subsequently extended its Water Retail Services framework for a further 2 years, and there is now the opportunity for the Council to also extend its contract for an equivalent 2-year period.
- 2.3 The cost of the extension is anticipated to be in the region of £1,200,000 per annum. However, this is a demand driven utilities service contract and the cost can vary over the duration of the contract depending on demand/usage.
- 2.4 Provision exists within the Council's revenue budget for this cost. The total cost of the variation is expected to be in the region of £2,400,000.

### **3. Reasons for the Decision**

- 3.1 This is a key utilities contract which underpins the day-to-day workings of the Council, and which provides for water supply to all Council facilities. Extending the current contract will help ensure continuation of a key utility supply, required to enable the day-to-day workings of the Council.
- 3.2 The Council's current contract with Anglian Water Business (National) Limited commenced on 1 April 2021, and contains provision for the contract to be extended for a further 2 year period. To date, the service has been provided in accordance with the Council's requirements, and continues to represent value for money.
- 3.3 Extending the current contract will help minimise any risk of disruption to the workforce and Council services that may arise following a change of provider.

#### **4. Alternative Decisions**

The alternative option is not to extend the existing contract. This option is not recommended as it means the Council would need to separately procure its own standalone contract for Water Retail Services, and would not benefit from the collective bargaining power (and any favourable rates and savings) secured by NEPO when it established the regional Water Retail Services framework.

#### **5. Recommendation**

It is recommended that the Director of Finance approves the extension of the Council's existing contract for Water Retail Services for a period of 24 months, from 1 April 2023 to 31 March 2025.

The Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012

Notice is given of the following proposed Key Decisions (whether proposed to be taken in public or in private) and of Executive Decisions including key decisions) intended to be considered in a private meeting:-

Item no.	Matter in respect of which a decision is to be made	Decision-maker (if individual, name and title, if body, its name and see below for list of members)	Key Decision Y/N	Anticipated date of decision/ period in which the decision is to be taken	Private meeting Y/N	Reasons for the meeting to be held in private	Documents submitted to the decision-maker in relation to the matter	Address to obtain further information
230208/783	To undertake procurement and proposed award of call-off contract(s) for the supply of ICT hardware	Director of Smart Cities and Enabling Services	Y	16 March 2023	N	Not applicable.	Report	Governance Services City Hall Plater Way Sunderland SR1 3AA <a href="mailto:committees@sunderland.gov.uk">committees@sunderland.gov.uk</a>

**Note;** Some of the documents listed may not be available if they are subject to an exemption, prohibition or restriction on disclosure.

Further documents relevant to the matters to be decided can be submitted to the decision-maker. If you wish to request details of those documents (if any) as they become available, or to submit representations about a proposal to hold a meeting in private, you should contact Governance Services at the address below.

Subject to any prohibition or restriction on their disclosure, copies of documents submitted to the decision-maker can also be obtained from the Governance Services team City Hall, Plater Way, Sunderland, or by email to [committees@sunderland.gov.uk](mailto:committees@sunderland.gov.uk)

**\*Other documents relevant to the matter may be submitted to the decision maker and requests for details of these documents should be submitted to Governance Services at the address given above.**

**Who will decide;**

Councillor Graeme Miller – Leader; Councillor Claire Rowntree – Deputy Leader & Clean Green City; Councillor Paul Stewart - Cabinet Secretary; Councillor Louise Farthing – Children, Learning and Skills; Councillor Kelly Chequer – Healthy City; Councillor Linda Williams – Vibrant City; Councillor Kevin Johnston – Dynamic City.

This is the membership of Cabinet as at the date of this notice. Any changes will be specified on a supplementary notice.

Elaine Waugh,  
Assistant Director of Law and Governance

15 February 2023

# PROPOSED PURCHASE OF ICT HARDWARE REQUIREMENTS

February 2023

## REPORT OF THE DIRECTOR OF SMART CITIES AND ENABLING SERVICES

### 1. Purpose of the Report

- 1.1 To seek approval for the proposed purchase of ICT hardware requirements across the Council.

### 2. Background and Proposal

- 2.1 Currently, the ICT Service has a range of hardware requirements which must be refreshed across the estate. The scope of these items are as follows:

- Laptops
- Smart Mobile Handsets
- Network Switches
- Desktop Uninterrupted Power Supplies
- Cables
- Wi-Fi Access Points

The delivery of the above items must be made to one or more Council sites within the next 12 months.

- 2.2 There are no current contracts in place for the supply of hardware, as these are often one-off purchases.
- 2.3 It is intended that the forthcoming procurement exercise will be undertaken by the Crown Commercial Service (CCS) who are running a national further competition process, in which multiple public sector organisations can aggregate their hardware requirements. By participating in this process, it is anticipated the Council can increase our buying power to achieve savings that would not be possible through individual buying.
- 2.4 The CCS intends to share the proposed outcome of the national further competition process in mid-March. At that time, the Council will confirm whether we wish to proceed to order any or part of the hardware requirements based on the prices submitted.
- 2.5 The budget for all requirements is approximately £1.7m.

### 3. Reasons for the Decision

A hardware refresh is undertaken every four years to ensure the Council's infrastructure and end user devices are fully supported, have improved performance and increased security, which will ensure the risk of disruption to the workforce and Council services is minimised.

#### **4. Alternative Decisions**

The alternative option is not to proceed with the purchase the hardware requirements. However, this option is not recommended as the existing hardware must be refreshed, in order to continue supporting the infrastructure and staff across the Council.

#### **5. Recommendation**

It is therefore recommended that the Chief Executive approves our participation in the procurement process for the purchase of replacement hardware.