

Risk and Assurance Map – 2022/23

Strategic and Corporate Risk Areas			1st Line	2nd Line								3rd Line		
	Current Risk Score	Cumulative Assurance Position	Management Assurance	Other Internal Assurance Activity								Internal Audit	External Assurance	
				Law & Governance	Finance	Programmes & Projects	Performance	DPO	ICT	People Mgt	Health & Safety			Business Continuity
Strategic Risk Areas														
Dynamic Smart City														
More and better jobs	Amber	Green											X	
More and better housing	Amber	Green											X	
More local people with better qualifications and skills	Red	Amber											X	
A stronger City Centre with more businesses, housing and cultural opportunities	Red	Green											X	
A lower carbon City with greater digital connectivity for all	Amber	Green											X	X
Healthy Smart City														
Equitable opportunities and the best life chances for children	Green	Green											X	X
Reduced health inequalities enabling more people to live healthier longer lives	Red	Amber											X	X
High quality support and social care that enables those who need it to live the life they want to lead	Amber	Green											X	
Cleaner and more attractive City and neighbourhoods	Amber	Green											X	
Great transport links with low carbon and active travel opportunities for all	Amber	Amber											X	
Vibrant Smart City														
Residents feeling proud of the city and please where they live with more people active and participating in their communities	Amber	Green											X	
More people visiting Sunderland with businesses thriving and more residents shaping and participating in cultural events	Amber	Amber											X	
More people feeling safe in their homes and neighbourhoods and businesses benefitting from the city's safe and secure environment	Amber	Green											X	
Residents who are more resilient to ongoing challenges including the cost-of-living crisis	Amber	Green											X	
Enabling														
Finance	Red	Green											X	X
Partnership Working	Amber	Amber											X	X
Corporate Risk Areas														
Strategic Planning	Green	Green					X						X	
Commissioning	Amber	Green		X									X	Amber
Service Delivery Arrangements	Amber	Green		X									X	Amber
Partnership/Integrated Working	Amber	Green		X									X	Green
Procurement	Green	Green										X	X	
Relationship/Contract Management	Amber	Amber											X	Amber
Legality	Green	Green		X	X							X	X	
Risk Management	Amber	Green										X	X	Amber
Corporate Performance Management	Green	Green					X						X	
Financial Management	Green	Green		X									X	X
Income Collection	Green	Green											X	X
Capital Programme Management	Amber	Amber											X	Amber
Health and Safety	Red	Amber							X				X	Amber
ICT Infrastructure	Green	Green		X									X	
Cyber Security	Amber	Amber							X				X	
Information Governance/Security	Amber	Green		X				X					X	
Business Continuity Management	Amber	Green		X							X		X	
Programme and Project Management	Red	Amber		X			X					X	X	Amber
Asset Management	Red	Amber									X		X	
Anti-Fraud and Corruption	Green	Green		X									X	
Council Owned Companies														
Sunderland Care and Support Ltd.	Amber	Green											X	Green
Together for Children Sunderland Ltd.	Green	Green									X		X	X
Siglion LLP	Amber	Green											X	

Key: X=activity planned, White=no coverage, Green=full / substantial assurance, Amber=moderate assurance, Red=limited / no assurance

