

TYNE AND WEAR FIRE AND RESCUE AUTHORITY

Item No. 4

MEETING: GOVERNANCE COMMITTEE 29 NOVEMBER 2021

SUBJECT: CORPORATE RISK MANAGEMENT

JOINT REPORT OF THE CHIEF FIRE OFFICER/CHIEF EXECUTIVE (THE CLERK TO THE AUTHORITY) THE FINANCE DIRECTOR AND THE PERSONNEL ADVISOR TO THE AUTHORITY

1 INTRODUCTION

- 1.1 The purpose of this report is to inform members of the outcome of the latest quarterly review of the Authority's current Corporate Risk Register (CRR) undertaken by the Executive Leadership Team (ELT) at their meeting on 7 September 2021.

2 BACKGROUND

- 2.1 The CRR is the culmination of a wide ranging review of the risks facing the Fire Authority following detailed analysis of both internal and external factors which could impinge on the work of the Authority.
- 2.2 This information and the subsequent analysis of it is utilised to inform and underpin the Authority's approach to Corporate Risk Management. In line with the Risk Management Policy and Procedure the register is regularly reviewed by ELT and reported to the Fire Authority on a bi-annual basis.
- 2.3 To ensure independent scrutiny of the register ahead of submission to Fire Authority the members of the Governance Committee are requested to note the content of the report and challenge its content to ensure that risks are being addressed appropriately through internal scrutiny. (Minute 24/21 refers).

3 CORPORATE RISK REGISTER (CRR)

- 3.1 Risk management work is ongoing and continues to be undertaken by the ELT as part of their standing monthly meeting agenda item. As part of the ongoing risk management process the group reassess existing risks, identify new risks and subsequently ensure that appropriate measures are implemented to manage and mitigate risks as far as practicable.
- 3.2 The CRR hosts details of risks that could have a significant impact on the medium and long-term goals of the Authority. The CRR currently has 10 risks which directly impinge on the work of the Authority.
- 3.3 Risks are categorised using a 5x5 risk matrix producing a score between 1 and 25 which subsequently allocates a risk rating ranging from trivial to Intolerable. Risks that are above the trivial/tolerable threshold (moderate, substantial or intolerable) require further consideration and a decision taken as to how the risk

should be treated and a decision is taken by ELT as to the potential inclusion within the CRR.

- 3.4 The CRR underwent a 'cleansing exercise' following a full review of Risk Management in April 2021, please see appendix A for further details. Of the 10 risks currently shown on the CRR 3 risks have been categorised as having a 'substantial' impact on the service should the risk not be treated and mitigated against appropriately, namely:-

11/02 *Risk that financial pressures will impact on Service's decision making and delivery of its goals/priorities and objectives* (risk rating 12, risk trend static).

01/20 *Risk that the impending Remedy for Age Discrimination in Pension Schemes results in detrimental Financial and workforce impacts for the Service* (risk rating 10, risk trend static).

03/21 *Failure to attain anticipated grading as a result of HMICFRS may impact on the reputation of the Service and represent a significant increase in workload to implement improvement activities* (risk rating 12, risk trend increased likelihood from 3 to 4 following September review).

- 3.5 The remainder of the risks are classified at 'moderate' and can be seen within the CRR, along with details of mitigating factors undertaken against all risks (attached as Appendix B).

4 RISK MANAGEMENT

- 4.1 The CRR directly supports Goal 2 of the 2020-21 Strategic Community Safety Plan (interim) 'to respond appropriately to the risk'.
- 4.2 Regular updating and approval of the Authority's CRR will ensure that the Authority has in place suitable and appropriate arrangements to mitigate the risks facing the Authority and its staff in delivering services to our community

5 FINANCIAL IMPLICATIONS

- 5.1 There are no financial implications in respect of this report.

6 EQUALITY AND FAIRNESS IMPLICATIONS

- 6.1 There are no equality and fairness implications in respect of this report.

7 HEALTH AND SAFETY IMPLICATIONS

- 7.1 There are no health and safety implications in respect of this report.

8 RECOMMENDATIONS

8.1 The committee is recommended to:

- a) Review the content of this report and provide challenge where appropriate.
 - b) Receive further reports as appropriate.
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BACKGROUND PAPERS

The under mentioned Background Papers refer to the subject matter of the above report:

Fire Authority Report 13 September 2021 – Corporate Risk Management – Item No.7

